Clerk of the House of Representatives	Secretary of the Senate
Legislative Resource Center	Office of Public Records
B-106 Cannon Building	232 Hart Building
Washington, DC 20515	Washington, DC 20510

SECRETARY OF THE SE

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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) – **All Filers are Required to Complete This Page**

1. Registrant Name

Alston & Bird LLP

2. Address ☐ Check if different than previously reported

601 Pennsylvania Ave., N.W., North Building, 11th Floor, Washington, D.C. 20004-2601

3. Principal Place of Business (if different from line 2)

City **Atlanta** State/Zip (or Country) **GA** Zip **30309-3424**

4. Contact Name	Telephone	E-mail (optional)	5. Senate
Jonathan Winer	**(202) 756-3300**	**jwiner@alston.com**	**1182-**

7. Client Name ☐ Self **UBS AG STAMFORD BRANCH** 6. House **3174**

TYPE OF REPORT 8. Year 2001 Midyear (January 1 – June 30) ☐ **OR** Year End (July 1-Dece

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date _____ 11. No Lobbying

INCOME OR EXPENSES – Complete Either Line 12 OR Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than $10,000 ☐

$10,000 or more ☒⇨ $ 20,000
Income (nearest $20,000)

Provide a good faith estimate, rounded to the nearest $20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for tl period were:

Less than $10,000 ☐

$10,000 or more ☐ ⇨ $
Expenses (neare

14. **REPORTING METHOD.** Check box to indica accounting method. See instructions for descriptio

☐ **Method A.** Reporting amounts using LDA defini

☐ **Method B.** Reporting amounts under section 603 Internal Revenue Code

☐ **Method C.** Reporting amounts under section 162 Internal Revenue Code

Signature _____

Printed Name and Title Jonathan Winer Counsel

[redacted]

Registrant Name **Alston & Bird** Client Name **UBS AG STAMFORD BR**

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant en lobbying on behalf of the client during the reporitng period. **Using a separate page for each code**, provide information as r additional page(s) as needed.

15. General issue area code **FIN** (one per page)

16. Specific lobbying issues **Financial Regulation pertaining to OFAC.**

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. Department of State
U.S. Department of Treasury
White House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Thomas M. Boyd	
Thomas E. Crocker	
Jonathan M.Winer	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None
UBS AG, identified on LD-1, is the parent of UBS – AG, Stamford and has an interest in secu favorable financial regulations specified herein.

Signature Jonathan M. Winer Date February 8, 2002

Printed Name and Title Jonathan M. Winer Counsel

[redacted]