00000471213

Clerk of the House of Representatives	Secretary of the Senate
Legislative Resource Center	Office of Public Records
B-106 Cannon Building	232 Hart Building
Washington, DC 20515	Washington, DC 20510

SECRETARY OF THE SENATE
04 FEB 13 PM 1:07

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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required to Complete This Page**

1. Registrant Name
Sun Microsystems, Inc.

2. Address ☐ Check if different than previously reported
1300 Eye Street NW, Suite 420 East, Washington, DC 20005

3. Principal Place of Business (if different from line 2)
Santa Clara (City:) CA 95054 (State/zip (or Country))

4. Contact Name	Telephone	E-mail (optional)	5. Senate ID #
Lowell Sachs	(202) 326-7521	lowell.sachs@sun.com	37323-12

7. Client Name ☑ Self	6. House ID #
Self	30574000

TYPE OF REPORT 8. Year 2003 Midyear (January 1-June 30) ☐ **OR** Year End (July 1-Decen

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ➪ Termination Date _____ 11. No Lobbying

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than $10,000 ☐

$10,000 or more ☐ ➪ $ _____ Income (nearest $20,000)

Provide a good faith estimate, rounded to the nearest $20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporti period were:

Less than $10,000 ☐

$10,000 or more ☑ ➪ $ $440,000.00 Expenses (nearest $20,000)

14. REPORTING METHOD. Check box to indicate exp accounting method. See instructions for description of opt

☐ **Method A.** Reporting amounts using LDA definitio

☐ **Method B.** Reporting amounts under section 6033(l Internal Revenue Code

☑ **Method C.** Reporting amounts under section 162(e) Internal Revenue Code

Signature _____ Date 4/12/07

Printed Name and Title Lowell Sachs- Manager of Federal Affairs

LD-2 (REV. 4/03) PAGE 1 of

Registrant Name Sun Microsystems, Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code ACC (one per page)

16. Specific lobbying issues

Educate Congress and the Administration on the importance of maintaining broad-based stock option plans.
H.R. 1372, Broadbased Stock Option Plan Transparency Act of 2003.
S. 979, Broadbased Stock Option Plan Transparency Act of 2003.
S. 181, Stock Option Accounting Review Act.
S. 182, Ending Double Standard for Stock Options Act.
H.R. 626, Ending the Double Standard for Stock Options Act.
H.R. 3574, Stock Option Accounting Reform Act.
S. 1890, Stock Option Accounting Reform Act.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives,
Senate,
Department of Commerce,
Executive Office of the President,
Department of Treasury,
Securities and Exchange Commission

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Chris Hankin	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None

Signature _____ Date 2/12/04

Printed Name and Title Lowell Sachs- Manager of Federal Affairs

00000471215

Registrant Name Sun Microsystems, Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code CPT (one per page)

16. Specific lobbying issues

H.R. 107, Digital Media Consumers' Rights Act of 2003.
H.R. 1066, Benefit Authors without Limiting Advancement or Net Consumer Expectations (BALANCE) Act of 2003.
H.R. 2517, Piracy Deterrence and Education Act of 2003.
S. 1621, "Consumers, Schools and Libraries Digital Rights Management Awareness Act."
S.692, Digital Consumer Right to Know Act.
H.R. 2752, Author, Consumer, and Computer Owner Protection and Security Act of 2003.
H.R. 1561, United States Patent and Trademark Fee Modernization Act of 2003.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Lowell Sachs	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None

Signature _____ Date 2/12/04

Registrant Name Sun Microsystems, Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code CSP (one per page)

16. Specific lobbying issues

H.R. 1933, Ristrict and Eliminate the Delivery of Unsolicited Commercial Electronic Mail or Spam (REDUCE) Act or 2003.
H.R. 2214, Reduction in Distribution of (RID) Spam Act of 2003.
H.R. 2515, Anti-Spam Act of 2003.
S. 1231, Spam Act.
S. 228, Social Security Number Misuse Prevention Act.
S. 877, CAN-SPAM Act.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Lowell Sachs	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None

Signature _____ Date 2/12/04

Registrant Name Sun Microsystems, Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code IMM (one per page)

16. Specific lobbying issues

H.R. 2154, "To amend the Immigration and Nationality Act to prevent an employer from placing a nonimmigrant who is an intracompany transferee with another employer."
H.R. 2702, L-1 Nonimmigrant Reform Act

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Lowell Sachs	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None

Signature _____ Date 2/12/04

Printed Name and Title Lowell Sachs- Manager of Federal Affairs

Registrant Name Sun Microsystems, Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code LBR (one per page)

16. Specific lobbying issues

Education on competition, consumer choice and trends in the computer industry.
Education on IATA Resolution 502.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
Senate,
Department of Transportation,
Department of Justice,
Department of Commerce

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Chris Hankin	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None

Signature _____ Date 2/12/04

Registrant Name Sun Microsystems, Inc. Client Name Self

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15. General issue area code SCI (one per page)

16. Specific lobbying issues

Promote a legislative change to permit and encourage federal use of consortia created standards in procurement.
Promote further steps to increase Cybersecurity.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives,
Senate,
Executive Office of the President,
Department of Commerce

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Chris Hankin	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None

Signature _____ Date 2/12/0

Printed Name and Title Lowell Sachs- Manager of Federal Affairs

Registrant Name Sun Microsystems, Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

S.206, A bill to amend the Internal Revenue Code of 1986 to clarify the treatment of incentive stock options and employee stock purchases.
H.R. 286, A bill to amend the Internal Revenue Code of 1986 to clarify the treatment of incentive stock options and employee stock purchases.
S. 52, Internet Tax Nondiscrimination Act.
H.R. 49, Internet Tax Nondiscrimination Act.
S. 596, Invest in the USA Act.
H.R. 1162, Invest in America Act of 2003.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives,
Senate,
Department of Commerce,
Executive Office of the President,
Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Chris Hankin	
Lowell Sachs	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None

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Printed Name and Title Lowell Sachs- Manager of Federal Affairs

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15. General issue area code TAX (one per page)

16. Specific lobbying issues

H.R. 767, Homeland Investment Act of 2003.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives,
Senate,
Department of Commerce,
Executive Office of the President,
Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)

19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None

Signature _____ Date 2/12/

Printed Name and Title Lowell Sachs- Manager of Federal Affairs

Registrant Name Sun Microsystems, Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific lobbying issues

U.S.- Chile Free Trade Agreement.
U.S.- Singapore Free Trade Agreement.
H.R. 55, Export Administration Act of 2003.
Export control reform for computers.
Educate policy makers on technology trends in the high technology industry and their impact on export control policy.
Efforts to amend the Export Administration Act and the NOAA of 1998.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives,
Senate,
Department of Commerce,
Executive Office of the President,
United States Trade Representative,
Department of State

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Chris Hankin	
Lowell Sachs	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None

Signature [signature] Date 2/12/04

Printed Name and Title Lowell Sachs- Manager of Federal Affairs