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Legislative Resource Center
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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required to Complete This Page**

1. Registrant Name
Diageo

2. Address ☐ Check if different than previously reported
1301 K Street, NW - Suite 1000 East, Washington, DC 20005

3. Principal Place of Business (if different from line 2)
City: Stamford
State/zip (or Country): CT 06901

4. Contact Name: Mike Bertman
Telephone: (202) 715-1115
E-mail (optional):

5. Senate ID #: 49830-12

6. House ID #: 34572000

7. Client Name ☑ Self
Self

TYPE OF REPORT

8. Year 2003 Midyear (January 1-June 30) ☐ OR Year End (July 1-Dec

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date _____ 11. No Lobbyin

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than $10,000 ☐

$10,000 or more ☐ ⇨ $ _____
Income (nearest $20,000)

Provide a good faith estimate, rounded to the nearest $20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporti period were:

Less than $10,000 ☐

$10,000 or more ☑ ⇨ $ $600,000.00
Expenses (nearest $20,000)

14. REPORTING METHOD. Check box to indicate exp accounting method. See instructions for description of opti

☐ **Method A.** Reporting amounts using LDA definition
☐ **Method B.** Reporting amounts under section 6033(b) Internal Revenue Code
☐ **Method C.** Reporting amounts under section 162(c) Internal Revenue Code

Signature 2/20/04

Date

Printed Name and Title Mike Bertman, Director, Federal & International Affairs

LD-2 (REV. 4/03)

PAGE 1 of _

Registrant Name Diageo Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which th engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each co** information as requested. Attach additional page(s) as needed.

15. General issue area code ALC (one per page)

16. Specific lobbying issues

Distilled spirits product information
Drunk driving/transportation issues
Alcohol research & prevention issues

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House
Senate
White Executive Office of Domestic Policy
Department of Health & Human Services
National Institute on Alcohol Abuse & Alcoholism
Substance Abuse and Mental Health Admin
National Academy of Sciences
Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mike Bertman	Director, Federal & International Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None

Signature _____ Date 2/20/04

Printed Name and Title Mike Bertman, Director, Federal & International Affairs

Registrant Name Diageo Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each cod** information as requested. Attach additional page(s) as needed.

15. General issue area code TOR (one per page)

16. Specific lobbying issues

HR 339 - to prevent frivolous lawsuits vs food manufacturers
S 1428 - to prohibit civil liability action based upon obesity claims

17. House(s) of Congress and Federal agencies contacted ❑ Check if None

House
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mike Bertman	Director, Federal & International Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ❑ Check if None

Signature Date 2/20/04

Printed Name and Title Mike Bertman, Director, Federal & International Affairs

Registrant Name Diageo Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each cod** information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific lobbying issues

Free Trade Agreement negotiations: Australia, Chile and Singapore, Central America, World Health Organization: Alcohol policy.

Mexico's proposed ban on bulk tequila.

17. House(s) of Congress and Federal agencies contacted ❑ Check if None

House
Senate
White House
Department of Treasury
Patent Office
Office of the U.S. Trade Representative
Department of Commerce

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mike Bertman	Director, Federal & International Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ❑ Check if None

Signature _____ Date 2/20/04

Printed Name and Title Mike Bertman, Director, Federal & International Affairs

Registrant Name Diageo Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which th engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each co** information as requested. Attach additional page(s) as needed.

15. General issue area code ALC (one per page)

16. Specific lobbying issues

Formulation of flavored malt beverages

17. House(s) of Congress and Federal agencies contacted ❑ Check if None

House
Senate
White House
Department of Treasury
Alcohol, Tobacco, Tax & Trade Bureau

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mike Bertman	Director, Federal & International Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ❑ Check if None

Signature _____ Date 2/20/04

Printed Name and Title Mike Bertman, Director, Federal & International Affairs

Registrant Name Diageo Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which th engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each co** information as requested. Attach additional page(s) as needed.

15. General issue area code ADV (one per page)

16. Specific lobbying issues

Advertising

17. House(s) of Congress and Federal agencies contacted ❑ Check if None

House	Dept. of Commerce
Senate	FTC
White House Executive Office of Domestic Policy	
Department of Health & Human Services	
National Institute on Alcohol Abuse & Alcoholism	
National Academy of Sciences	

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mike Bertman	Director, Federal & International Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ❑ Check if None

Signature _____ Date 2/20/04

Printed Name and Title Mike Bertman, Director, Federal & International Affairs

Registrant Name Diageo Client Name Self

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15. General issue area code HOM (one per page)

16. Specific lobbying issues

HR 2950/8 - to reduce the rate of tax on distilled spirits
HR 791/S.1506 - to simplify the method of payment of taxes on distilled spirits
HR 786/S.374 - to repel the occupational tax
HR 2 - to reauthorize the rum cover over provisions
HR 2896 - to revise extra territorial income/foreign sales corporation provision
No specific bill - beverage alcohol taxes generally
S. 1681 - to exempt natural aging process of production period

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House
Senate
White House
Department of Treasury
National Academy of Sciences

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mike Bertman	Director, Federal & International Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____ Date 2/20/04

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15. General issue area code HOM (one per page)

16. Specific lobbying issues

Bioterrorism

17. House(s) of Congress and Federal agencies contacted ❑ Check if None

House	Food and Drug Administration
Senate	Customs and Border Protection Bureau
White House	
Tax & Trade Bureau	
Department of Treasury	

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mike Bertman	Director, Federal & International Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ❑ Check if None

Signature _____ Date 2/20/04

Printed Name and Title Mike Bertman, Director, Federal & International Affairs