| Clerk of the House of Representatives | Secretary of the Senate |
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# LOBBYING REGISTRATION

Lobbying Disclosure Act of 1995 (Section 4)

1. Effective Date of Registration 05/01/2006

2. House Identification Number 38091 Senate Identification Number 305054

## REGISTRANT

3. Registrant name Organization Porterfield & Lowenthal, LLC

Address 601 Pennsylvania Avenue, NW, Suite 900, South Building

City Washington State DC Zip 20004 USA

4. Principal place of business (if different than line 3)

City State Zip

5. Telephone number and contact name

| Prefix | Full Name | |
|---|---|---|
| 202-220-3180 Contact | Mr. Lendell Porterfield | E-mail lendell@porterfieldlowenthal.com |

6. General description of registrant's business or activities

government relations firm

## CLIENT

*A **Lobbying firm** is required to file a separate registration for each client. **Organizations employing in-house lobbyists** should check the box labeled "Self" and proceed to line 10.* ☐ *Self*

7. Client name Prudential Financial

Address 1140 Connecticut Avenue, NW, Suite 510

City Washington DC State DC Zip 20036 Country USA

8. Principal place of business (if different than line 7)

City State Zip Country

9. General description of client's business or activities

A financial services company

## LOBBYISTS

Go to page 3 to add more lobby

10. Name of each individual who has acted or is expected to act as a lobbyist for the client identified on line 7. If any person listed section has served as a "covered executive branch official" or "covered legislative branch official" within two years of first ac a lobbyist for the client, *state the executive and/or legislative position(s) in which the person served.*

| Name First | Last | Suffix | Covered Official Position (if applicable) |
|---|---|---|---|
| Andrew | Lowenthal | | N/A |
| Lendell | Porterfield | | N/A |
| Dawn | Callaghan | | N/A |
| | | | |
| | | | |

Registrant Name Porterfield & Lowenthal, LLC  Client Name Prudential Financial

## LOBBYING ISSUES

Find the code to select below.

Go to page 3 to add more lobbying iss

11. General lobbying issue areas. Select all applicable codes listed in instructions and on the reverse side of Form LD-1, page 1

BAN INS

12. Specific lobbying issues (current and anticipated)

Monitor privacy issues.
Federal insurance issues.

## AFFILIATED ORGANIZATIONS

Go to page 3 to add more organizati

13. Is there an entity other than the client that contributes more than $10,000 to the lobbying activities of the registrant in a semiannual period **and** in whole or in major part plans supervises or controls the registrant's lobbying activities?

☒ No ⇨ Go to line 14. ☐ Yes ⇨ Complete the rest of this section for each entity matching the criteria above, then proceed to line 14.

| Name | Address | Principal place of Business (city and state or country) |
|---|---|---|
| | | |

## FOREIGN ENTITIES

Go to page 3 to add more foreign en

14. Is there any foreign entity that:

a) holds at least 20% equitable ownership in the client or any organization identified on line 13: **or**
b) directly or indirectly, in whole or in major part, plans, supervises, controls, directs, finances or subsidizes activit the client or any organization identified on line 13; **or**
c) is an affiliate of the client or any organization identified on line 13 and has a direct interest in the outcome of the lobbying activity?

☒ No ⇨ Sign and date the registration. ☐ Yes ⇨ Complete the rest of this section for each entity matching the criteria above, then sign and date the registration.

| Name | Address (Street Address, City, State/Province, Country) | Principal place of business (city and state or country) | Amount of contribution for lobbying activities | Owne percer in cli |
|---|---|---|---|---|
| | | | | |

Form Comp

Printed Name and Title Lendell Porterfield, CEO