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Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF THE SENATE
05 NOV 30 PM 2:50

# LOBBYING REGISTRATION

Lobbying Disclosure Act of 1995 (Section 4)

1. Effective Date of Registration 2/1/04

2. House Identification Number 31748008 Senate Identification Number 1182-90

## REGISTRANT

3. Registrant name Organization Alston & Bird, LLP

Address 601 Pennsylvania Avenue, 10th Floor

City Washington State DC Zip 20004 US

4. Principal place of business (if different than line 3)

City Atlanta State GA Zip 30309-3424

5. Telephone number and contact name Prefix Full Name

(202)756-3342 Contact Mr. Jonathan M. Winer E-mail jwiner@alston.com

6. General description of registrant's business or activities

## CLIENT

*A Lobbying firm is required to file a separate registration for each client. **Organizations employing in-house lobbyists** should check the b labeled "Self" and proceed to line 10.* ☐ *Self*

7. Client name Charles Schwab & Co., Inc.

Address 101 Montgomery Street

City San Francisco State CA Zip 94104 Country

8. Principal place of business (if different than line 7)

City State Zip Country

9. General description of client's business or activities

Securities Broker Dealer

## LOBBYISTS

Go to page 3 to add more l

10. Name of each individual who has acted or is expected to act as a lobbyist for the client identified on line 7. If any person li section has served as a "covered executive branch official" or "covered legislative branch official" within two years of firs a lobbyist for the client, *state the executive and/or legislative position(s) in which the person served.*

| Name First | Last | Suffix | Covered Official Position (if applicable) |
|---|---|---|---|
| Thomas M. | Boyd | | |
| Paul | Martino | | Senate Commerce Committee-Counsel |
| Kathryn | Marks | | Legislative Assistant, Senator John Edwards |
| | | | |
| | | | |

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LD-1DS (Rev. 04/03)

Registrant Name Alston & Bird, LLP  Client Name Charles Schwab & Co., Inc.

## LOBBYING ISSUES

Find the code to select below.

Go to page 3 to add more lobbyi

11. General lobbying issue areas. Select all applicable codes listed in instructions and on the reverse side of Form LD-1, pa

FIN

12. Specific lobbying issues (current and anticipated)

Fair Credit Reporting Act, unsolicited commercial electronic mail, and e-commerce issues.

## AFFILIATED ORGANIZATIONS

Go to page 3 to add more orga

13. Is there an entity other than the client that contributes more than $10,000 to the lobbying activities of the registrant in a semiannual period **and** in whole or in major part plans supervises or controls the registrant's lobbying activities?

☒ No ⇨ Go to line 14.  ☐ Yes ⇨ Complete the rest of this section for each entity matching criteria above, then proceed to line 14.

| Name | Address | Principal place of Busines (city and state or country |
|---|---|---|
| | | |

## FOREIGN ENTITIES

Go to page 3 to add more foreig

14. Is there any foreign entity that:

a) holds at least 20% equitable ownership in the client or any organization identified on line 13: **or**

b) directly or indirectly, in whole or in major part, plans, supervises, controls, directs, finances or subsidizes act the client or any organization identified on line 13; **or**

c) is an affiliate of the client or any organization identified on line 13 and has a direct interest in the outcome of lobbying activity?

☐ No ⇨ Sign and date the registration.  ☒ Yes ⇨ Complete the rest of this section for each entity matching the criteria above, then sign and date registration.

| Name | Address (Street Address, City, State/Province, Country) | Principal place of business (city and state or country) | Amount of contribution for lobbying activities | O pe i |
|---|---|---|---|---|
| GUS plc | One Stanhope Gate<br>London, WIK, IAF<br>United Kingdom | United Kingdom | $0 | 1 |

Form Com

Printed Name and Title Jonathan M. Winer, Partner

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Registrant Name Alston & Bird, LLP

Client Name Charles Schwab & Co., Inc.

## ADDITIONAL LOBBYISTS

Return to page 2 to finish

10 Supplemental. List any additional lobbyists for this client not listed on page 1, number 10.

| Name | | | Covered Official Position (if applicable) |
|---|---|---|---|
| First | Last | Suffix | |
| | | | |
| | | | |
| | | | |
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## ADDITIONAL LOBBYING ISSUES

Return to page 2 to finish

11 Supplemental. General lobbying issue areas. Enter any additional codes for issues not listed on page 2, number 11.

Find the code to select below.

## AFFILIATED ORGANIZATIONS

Return to page 2 to finish

13 Supplemental. List any other affiliated organization that meets the criteria specified and is not listed on page 2, numb

| Name | Address | Principal place of Business (city and state or country |
|---|---|---|
| | | |

## ADDITIONAL FOREIGN ENTITIES

Return to page 2 to finish t

14 Supplemental. List any other foreign entity that meets the criteria specified and is not listed on page 2, number 14.

| Name | Address | Principal place of business (city and state or country) | Amount of contribution for lobbying activities | O percen |
|---|---|---|---|---|
| | Street Address<br>City State/Province Country | | | |
| | | | | |

Add an additional supplementary informatio

Printed Name and Title Jonathan M. Winer, Partner

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