Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510

SECRETARY OF THE SENATE
99 AUG 16 PM 2:41
H.D.

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name
Association of Private Pension and Welfare Plans

2. Address ☐ Check if different than previously reported
1212 New York Avenue, N.W., Suite 1250

3. Principal Place of Business (if different from line 2)
City: Washington State/Zip (or Country) DC 20005-3987

4. Contact Name	Telephone	E-mail (optional)	5. Senate ID #
James A. Klein	202-289-6700	jklein@appwp.org	4675

7. Client Name ☐ Self

6. House ID #

TYPE OF REPORT

8. Year_____ Midyear (January 1-June 30) ☒ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date_____

11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than $10,000 ☐

$10,000 or more ☐ ⇨ $_____
Income (nearest $20,000)

Provide a good faith estimate, rounded to the nearest $20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than $10,000 ☐

$10,000 or more ☐ ⇨ $_____
Expenses (nearest $20,000)

14. **REPORTING METHOD.** Check box to indicate expense accounting method. See instructions for description of options.

☐ Method A. Reporting amounts using LDA definitions only

☒ Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☐ Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature James A. Klein

Printed Name and Title James A. Klein, President

LD-2 (01/97)

PAGE 1 of ____

Registrant Name APPWP Client Name

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page)

16. Specific lobbying issues

See attached

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

United States Senate
United States House of Representatives
United States Department of Labor
Internal Revenue Service
Pension Benefit Guaranty Corporation
United States Treasury Department

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
James A. Klein		☐
Lynn D. Dudley		☐
James M. Delaplane, Jr.		☐
		☐
		☐
		☐
		☐
		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature James A. Klein Date 12 August 99

Printed Name and Title James A. Klein, President

Form LD-2 (Rev 6/98) Page ____ of ____

APPWP – The Benefits Association
1999 Retirement Legislative/Lobbying Activities

H.R. 226, the Family Retirement Savings Act of 1999
H.R. 739, the Retirement Account Portability Act of 1999
H.R. 833, the Bankruptcy Reform Act of 1999
H.R. 1102, the Comprehensive Retirement Security and Pension Reform Act of 1999
H.R. 1176/S. 659, the Pension Right to Know Act of 1999
H.R. 1213, the Employee Pension Portability and Accountability Act of 1999
H.R. 1287 (no title)
H.R. 1525, The Independent Contractor Clarification Act
H.R. 1546, the Retirement Savings Opportunity Act of 1999
H.R. 1590, the Retirement Security Act of 1999
H.R. 1793, the 21st Century Retirement Security Act
H.R. 2006, the Truth in Automobile Insurance Rates Act of 1999
H.R. 2190, the Secure Assets for Employees (SAFE) Plan Act of 1999
H.R. 2488, the Financial Freedom Act of 1999
H.R. 2553, the Family Investment Retirement Savings Tax (FIRST) Credit Act of 1999
H.R. 2298 (no title)
H.R. 2299 (no title)

S. 60, the Enhanced Savings Opportunity Act
S. 625, the Bankruptcy Reform Act of 1999
S. 646, the Retirement Savings Opportunity Act of 1999
S. 741, the Pension Coverage and Portability Act of 1999
S. 1300, the Older Worker Pension Protection Act of 1999
S. 1429, the Taxpayer Refund Act of 1999