Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF THE SENA

01 AUG 15 AM 11:3

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name

The University of Chicago

2. Address ☐ Check if different than previously reported

5801 South Ellis Avenue

3. Principal Place of Business (if different from line 2)

City: Chicago State/Zip (or Country) IL 60637

4. Contact Name	Telephone	E-mail (optional)	5. Senate ID #
Beth A. Harris	(773) 702-7237		39360

7. Client Name ☒ Self	6. House ID #
	30151

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) ☒ **OR** Year End (July 1-D

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date_____ 11. No Lobby

INCOME OR EXPENSES - Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than $10,000 ☐

$10,000 or more ☐ ⇨ $_____
Income (nearest $20,000)

Provide a good faith estimate, rounded to the nearest $20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this r period were:

Less than $10,000 ☐

$10,000 or more ☒ ⇨ $ 80,000.00
Expenses (nearest $2

14. REPORTING METHOD. Check box to indic accounting method. See instructions for description

☒ **Method A.** Reporting amounts using LDA defi

☐ **Method B.** Reporting amounts under section 6 Internal Revenue Code

☐ **Method C.** Reporting amounts under section 1 Internal Revenue Code

Signature Beth A. Harris

Printed Name and Title Beth A. Harris, Vice President and General Counsel

LD-2 (REV. 6/98)

[redacted]

Registrant Name The University of Chicago Client Name Self

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City State/Zip (or Country)

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues previously reported that **no longer** pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of E (city and state or c

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the regist affiliated organization

Signature Seth L. Hayes Date 8/10/01

Printed Name and Title Beth A. Harris, Vice President and General Counsel

Registrant Name The University of Chicago Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which th engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each co** information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

See attached.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

Executive Office of the President
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Deborah L. Hyatt	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Scott L. Harris Date 8/10/01

Printed Name and Title Beth A. Harris, Vice President and General Counsel

BUD

Discussions with peer research universities regarding:

- Advocacy efforts for funding of scientific research
- Upcoming meetings with Illinois Senators, Congressmen, and their staffers regarding support for scientific research
- Amendment in the House Budget Committee adding money to Budget Function 250
- Opposition to President's proposal to lower the National Institutes of Health (NIH) salary cap for principal investigators and preparation of letters to members of Congress regarding this issue
- NIH response to proposal of giving taxpayers a fair return on "blockbuster drugs" initiated by NIH-funded research

Meetings with peer research universities regarding:

- Funding of NIH and Department of Defense (DOD)-sponsored research
- NIH response to proposal of giving taxpayers a fair return on "blockbuster drugs" initiated by NIH-funded research

Preparation of letters from various universities to members of Congress regarding advocating for budget increase for Office of Science within the Department of Energy (DOE) for increased funding for non-biomedical sciences and engineering
Preparation of letter from various universities to the President regarding funding for stem cell research
Preparation for and meetings with local Congressmen and Senators and their staffers regarding funding of scientific research
Discussions with House of Representative and Senate staffers regarding future visits
Preparation of follow-up letters to Congressmen and Senators regarding visits
Discussions with House and Senate staffers regarding support for the Bond-Mikulski Amendment to add money to Budget Function 250
Discussion with peer research universities that do DOE-funded research and national laboratory personnel regarding advocacy strategy for funding research in the physical sciences
Discussion with local members of Congress regarding signing letter of support for funding DOE Office of Science programs
Discussion with university personnel regarding indirect cost issues
Discussion with university personnel regarding S. 839 and H.R. 1556 dealing with Medicare payments
Meetings with members of Congress and staffers regarding appropriations for a Center for Environmental Sciences at ANL

[redacted]

Registrant Name The University of Chicago Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code CPT (one per page)

16. Specific lobbying issues

Discussion with peer research universities regarding distance education and database legislation

17. House(s) of Congress and Federal agencies contacted ☒ Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Deborah L. Hyatt	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____ Date _____

Printed Name and Title

Registrant Name The University of Chicago Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code **EDU** (one per page)

16. Specific lobbying issues

See attached.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Deborah L. Hyatt	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____ Date _____

Printed Name and Title



EDU

Discussions with peer research universities regarding:

- Advocacy efforts for funding of scientific research
- Upcoming meetings with Illinois Senators, Congressmen, and their staffers regarding support for scientific research
- Opposition to President's proposal to lower the NIH salary cap for principal investigators and preparation of letters to members of Congress regarding this issue
- NIH response to proposal of giving taxpayers a fair return on "blockbuster drugs" initiated by NIH-funded research
- Medical school legislative and regulatory issues
- New administration's education agenda
- Community service legislation

Meetings with peer research universities regarding:

- Funding of NIH and DOD-sponsored research
- NIH response to proposal of giving taxpayers a fair return on "blockbuster drugs" initiated by NIH-funded research

Preparation of letters from various universities to members of Congress regarding advocating for budget increase for Office of Science within the DOE for increased funding for non-biomedical sciences and engineering

Preparation of letter from various universities to the President regarding funding for stem cell research

Preparation for and meetings with local Congressmen and Senators and their staffers regarding funding of scientific research

Discussions with House of Representative and Senate staffers regarding future visits

Preparation of follow-up letters to Congressmen and Senators regarding visits

Discussion with peer research universities that do DOE-funded research and national laboratory personnel regarding advocacy strategy for funding research in the physical sciences

Discussion with local members of Congress regarding signing letter of support for funding DOE Office of Science programs

Discussions with university personnel regarding visits to campus by various members of Congress

Discussions with Senate staffers regarding opposition to amendment to S. 1 (Elementary and Secondary Education Act Reauthorization)

Discussion with university personnel regarding standards proposed by the Council on Social Work Education



Registrant Name The University of Chicago Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code ENG (one per page)

16. Specific lobbying issues

See attached.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Deborah L. Hyatt	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Date

Printed Name and Title



ENG

Internal discussions regarding possible coordination of advocacy efforts with other universities on issues of nuclear energy
Discussion with House staffer regarding support for funding of DOE-sponsored research
Discussion with Argonne National Laboratory (ANL) regarding liability under the Price Anderson Act
Meetings with members of Congress and staffers regarding appropriations for a Center for Environmental Sciences at ANL



Registrant Name The University of Chicago Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code IMM (one per page)

16. Specific lobbying issues

Discussion with university personnel regarding problems with obtaining visas for Chinese students

17. House(s) of Congress and Federal agencies contacted ☒ Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Deborah L. Hyatt	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____ Date _____

Printed Name and Title

[redacted]

Registrant Name The University of Chicago Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which t engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code MED (one per page)

16. Specific lobbying issues

See attached.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

Executive Office of the President
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Deborah L. Hyatt	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____ Date _____

Printed Name and Title

[redacted]

MED

Meeting with university personnel regarding regulations and legislation relating to stem cell research
Discussion with university personnel regarding S. 839 and H.R. 1556 dealing with Medicare payments
Discussions with peer research universities regarding:

- NIH response to call for study on the federal government's "return on investment in biomedical research
- Preparation of letter to House and Senate leadership regarding budget cuts and the effects on teaching hospitals
- Opposition to President's proposal to lower the NIH salary cap for principal investigators and preparation of letters to members of Congress regarding this issue
- NIH response to proposal of giving taxpayers a fair return on "blockbuster drugs" initiated by NIH-funded research
- Medical school legislative and regulatory issues

Call to White House Office of Public Liaison urging President to support stem cell research
Preparation of letter from various universities to the President regarding funding for stem cell research
Discussion with House and Senate staffers regarding testimony by university personnel regarding H.R. 1644 on human cloning



Registrant Name The University of Chicago Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code SCI (one per page)

16. Specific lobbying issues

See attached.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Deborah L. Hyatt	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____ Date _____

Printed Name and Title

[redacted]

SCI

Discussions with peer research universities regarding:

- Advocacy efforts for funding of scientific research
- Upcoming meetings with Illinois Senators, Congressmen, and their staffers regarding support for scientific research
- Amendment in the House Budget Committee adding money to Budget Function 250
- Opposition to President's proposal to lower the NIH salary cap for principal investigators and preparation of letters to members of Congress regarding this issue
- Issues related to the International Traffic in Arms Regulations
- NIH response to call for study on the federal government's "return on investment in biomedical research

Meetings with peer research universities regarding:

- Funding of NIH and DOD-sponsored research
- NIH response to proposal of giving taxpayers a fair return on "blockbuster drugs" initiated by NIH-funded research

Preparation of letters from various universities to members of Congress regarding advocating for budget increase for Office of Science within the DOE for increased funding for non-biomedical sciences and engineering

Preparation of letter from various universities to the President regarding funding for stem cell research

Preparation for and meetings with local Congressmen and Senators and their staffers regarding funding of scientific research

Discussions with House of Representative and Senate staffers regarding future visits

Preparation of follow-up letters to Congressmen and Senators regarding visits

Discussions with House and Senate staffers regarding support for the Bond-Mikulski Amendment to add money to Budget Function 250

Discussion with peer research universities that do DOE-funded research and national laboratory personnel regarding advocacy strategy for funding research in the physical sciences

Discussion with local members of Congress regarding signing letter of support for funding DOE Office of Science programs

Discussion with university personnel regarding indirect cost issues

Discussion with university personnel regarding S. 839 and H.R. 1556 dealing with Medicare payments

Discussion with university personnel regarding plans by several members of Congress to meet with those eligible for compensation under new legislation on exposure to beryllium

Internal discussions regarding possible coordination of advocacy efforts with other universities on issues of nuclear energy

Discussions with Senate staffers regarding opposition to amendment to S. 1 (Elementary and Secondary Education Act Reauthorization)

Discussion with ANL regarding liability under the Price Anderson Act

Discussion with House and Senate staffers regarding testimony by university personnel regarding H.R. 1644 on human cloning



Meetings with members of Congress and staffers regarding appropriations for a Center o
Environmental Sciences at ANL



Registrant Name The University of Chicago Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

See attached.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representtives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Deborah L. Hyatt	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____ Date _____

Printed Name and Title

[redacted]

TAX

Preparation for and meetings with local Congressmen and Senators and their staffers regarding tax and other issues affecting the university
Preparation of follow-up letters to Congressmen and Senators regarding visits
Meetings with peer research universities regarding:

- Employer provided educational benefits, student loan interest deduction rules, prepaid tuition plans, and estate tax issues in H.R. 1836
- Changes in pension provisions
- Tax treatment of fellowships and scholarships
- Joint Committee on Taxation Simplification Study
- Status of IRA Charitable Rollover legislation

