Clear all data

SECRETARY
06 AUG 22

Clerk of the House of Representatives	Secretary of the Senate
Legislative Resource Center	Office of Public Records
B-106 Cannon Building	232 Hart Building
Washington, DC 20515	Washington, DC 20510

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required to Complete This Page**

1. Registrant name

The Nasdaq Stock Market

2. Address ☐ Check if different than previously reported

1801 K Street, NW — Suite 801L

Washington — DC — 20006 — USA

3. Principal place of business (if different than line 2)

New York — NY — 10006 — USA

City — State/Zip or Country

4a. Contact Name	b. Telephone number	c. E-mail	5. Senate ID #
Mr. James L. Johnson, Jr.	202-912-3060	jj.johnson@nasdaq.com	27115-12

7. Client Name ☒ Self

The Nasdaq Stock Market

6. House ID # 30268000

TYPE OF REPORT 8. Year 2006 Midyear (January 1-June30) ☒ OR Year End (July 1-December 31

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date _____ 11. No Lobbying Activit

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than $10,000 ☐

$10,000 or more ☐ ⇨ $ _____

Provide a good faith estimate, rounded to the nearest $20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting p were:

Less than $10,000 ☐

$10,000 or more ☒ ⇨ $ 280,000

14. REPORTING METHOD. Check box to indicate expens accounting method. See instructions for description of option

☐ **Method A.** Reporting amounts using LDA definitions only

☐ **Method B.** Reporting amounts under section 6033(b)(8) of Internal Revenue Code

☒ **Method C.** Reporting amounts under section 162(e) of the Revenue Code

Edit Form >

Signature _____ Date 8/11/06

Printed Name and Title James L. Johnson, Jr., Vice President

00023059

Registrant Name The Nasdaq Stock Market Client Name The Nasdaq Stock Market

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the re engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** information as requested. Attach additional page(s) as needed.

15. General issue area code FIN - Financial Institutions/Investments/Securiti (one per page)

16. Specific lobbying issues

1. Approval and Implementation by SEC of Nasdaq's Exchange Application
2. Acquisition of Instinet, and related technology and trading platform integration
3. Implementation of SEC Regulation NMS
4. Corporate governance issues, including implementation of Sarbanes-Oxley Act, enhanced listing standards
5. SEC reform of self-regulatory organizations

17. House(s) of Congress and Federal agencies contacted ☐ None ☒ House ☒ Senate ☒ Other

White House
US Securities and Exchange Commission
US Treasury Department
US Commerce Department
Public Company Accounting Oversight Board

18. Name of each individual who acted as a lobbyist in this issue area

Name			Covered Official Position (if applicable)
James L.	Johnson	Jr.	
Terry	Campbell		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____ Date _____

Printed Name and Title [illegible] Vice President

00002310560

Registrant Name The Nasdaq Stock Market

Client Name The Nasdaq Stock Market

ADDENDUM for General Lobbying Issue Area FIN

16. Specific lobbying issues (continued from previous page)

6. Securities market data issues related to self-regulatory organizations
7. Treatment of security index products, Exchange-Traded Funds, and other index-based products
8. Support for the capital formation process in the United States

Add page to continue specific issues description for this issu

0000231061

Registrant Name The Nasdaq Stock Market Client Name The Nasdaq Stock Market

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different than line 20)

City State/Zip

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues that **no longer** pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal place of Business (city and state or country)

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Owners percent client

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the registrant, cli affiliated organization

Signature _____ Date _____

Printed Name and Title James L. Johnson, Jr., Vice President

00023106?