Clerk of the House of Representatives	Secretary of the Senate
Legislative Resource Center	Office of Public Records
B-106 Cannon Building	232 Hart Building
Washington, DC 20515	Washington, DC 20510

Secretary of the S
Received: Mar 29,

LOBBYING REGISTRATION

Lobbying Disclosure Act of 1995 (Section 4)

Check if this is an Amended Registration ☐

1. Effective Date of Registration Aug 0

2. House Identification Number 31350041

Senate Identification Number 170

Wrong filing!

REGISTRANT

3. Registrant Name: GROOM LAW GROUP
   Address: 1701 PENNSYLVANIA AVE NW #1200
   City: WASHINGTON State: DC Zip: 20006

4. Principal place of business (if different from line 3):

5. Telephone number and contact name:
   202861-5427 Contact: MR. WILLIAM SWEETNAM
   E-mail(optional): wfs@groom.com

6. General description of registrant's business or activities:
   Employee Benefits law firm

CLIENT

A Lobbying firm is required to file a separate registration for each client. Organizations employing in-house lobbyists should check th
labeled "Self" and proceed to line 10.

☐ Self

7. Client name: PRUDENTIAL FINANCIAL, INC.
   Address: 751 BROAD STREET
   City: NEWARK State: NJ Zip: 07102

8. Principal place of business (if different from line 7):

9. General description of client's business or activities:
   Financial Services

LOBBYISTS

10. Name of each individual who has acted or is expected to act as a lobbyist for the client identified on line 7. If any person listed
section has served as a "covered executive branch official" or "covered legislative branch official" within two years of first acting a
lobbyist for the client, state the executive and/or legislative position(s) in which the person served.

Name: GROOM, THEODORE
   Covered Official Position (if applicable): N/A
Name: SWEETNAM, WILLIAM F.
   Covered Official Position (if applicable): N/A
Name: UFFORD, ROBERTA
   Covered Official Position (if applicable): N/A
Name: WINTERS, BRIGEN
   Covered Official Position (if applicable): N/A

LOBBYING ISSUES

11. General lobbying issue areas. Select all applicable codes listed in instructions and on the reverse side of Form LD-1, page 1:

TAX TAX

12. Specific lobbying issues (current and anticipated):

Pension reform legislation (H.R. 2830; S. 218) ERISA Title I reform issues in pension reform legislation (H.R. 2830; S. 1783).

AFFILIATED ORGANIZATIONS

30001301132

http://sopr.senate.gov/cgi-win/opr_gifviewer.exe?/2006/E/000/032/000032010|2

Registrant Name: GROOM LAW GROUP Client Name: PRUDENTIAL FINANCIAL, INC.

13. Is there an entity other than the client that contributes more than $10,000 to the lobbying activities of the registrant in a semi-an period **and**13. Is there an entity other than the client that contributes more than $10,000 to the lobbying activities of the registrant i semi-annual periodin whole or in major part plans, supervises or controls the registrant's lobbying activities?

☒ No, then go to line 14.

☐ Yes, then complete the rest of this section for each entity matching the criteria above, then proceed to line 14.

FOREIGN ENTITIES

14. Is there any foreign entity that:
a) holds at least 20% equitable ownership in the client or any organization identified on line 13; **OR**
b) directly or indirectly, in whole or in major part, plans, supervises, controls, directs, finances or subsidizes activities of the client c organization identified on line 13; **OR**
c) is an affiliate of the client or any organization identified on line 13 and has a direct interest in the outcome of the lobbying activi

☒ No, then sign and date the registration.
☐ Yes, then complete the rest of this section for each entity matching the criteria above, then sign and date the registration.

Signature: ON FILE Date: Mar 29, 2006

Printed Name and Title: KATHERINE ANN VAN EVERY - LEGAL SECRETARY

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http://sopr.senate.gov/cgi-win/opr_gifviewer.exe?

GROOM LAW GROUP

SECRETARY OF
06 APR 20

Katherine A. Van Every
(202) 861-6635
kav@groom.com

April 12, 2(

By US Mail

Sir or Madam
Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

Re: Prudential Financial Inc. misfiled lobbying report (17000-761)

Dear Sir or Madam:

This letter is in reference to the above-name lobbying reports. Unfortunately, what has happened is that the client for which these were filed for gave us premature clearance to file th report. They have since requested that the old filing be disregarded, and possibly erased, and that we go back to previous lobbying report, already filed. I have included both of these repor with this letter. The one to be disregarded is labeled "wrong filing". The one which should be used is labeled "correct filing", and is dated April 12, 2006.

I would like to make sure that the correct filing is labeled as an amended file on the system. The previous amended filings have not said this on the form, even though they amenc through the Senate software program.

I have submitted the correct filing today, April 12, 2006. If you have any questions, please contact me.

Sincerely,

Katherine A. Van Every

00001301134

GROOM LAW GROUP, CHARTERED
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202-857-0620 • Fax: 202-659-4503 • www.groom.com