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Clerk of the House of Representatives	Secretary of the Senate
Legislative Resource Center	Office of Public Records
B-106 Cannon Building	232 Hart Building
Washington, DC 20515	Washington, DC 20510

SECRETARY OF THE
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LOBBYING REGISTRATION

Lobbying Disclosure Act of 1995 (Section 4)

Check if this is an Amended Registration ☒

1. Effective Date of Registration **1/1/96**

2. House Identification Number **31539000** Senate Identification Number **9022-12**

REGISTRANT

3. Registrant Name **Chicago Board of Trade**

Address **141 W. Jackson Blvd., Suite 2240**

City **Chicago** State **IL** Zip **60604**

4. Principal place of business (if different from line 3)

City State/Zip (or Country)

5. Telephone number and contact name Contact E-Mail (optional)

(312)435-3457 **Glen Johnson**

6. General description of registrant's business or activities

Futures Exchange

CLIENT

A lobbying firm is required to file a separate registration for each client. Organizations employing in-house lobbyists shou labeled "Self" and proceed to line 10. ☒ Self

7. Client Name

Address

City State Zip

8. Principal place of business (if different from line 7)

City State/Zip (or Country)

9. General description of client's business or activities

LOBBYISTS

10. Name of each individual who has acted or is expected to act as a lobbyist for the client identified on line 7. If any person lis in this section has served as a "covered executive branch official" or "covered legislative branch official" within two years c first acting as a lobbyist for this client, state the executive and/or legislative position(s) in which the person served.

Name	Covered Official Position (if applicable)
Julie Bauer	

Registrant Name: **Chicago Board of Trade**

Client Name: **Self**

LOBBYING ISSUES

11. General lobbying issue areas. Select all applicable codes listed in instructions and on the reverse side of Form LD-1, page 1

AGR, FIN

12. Specific lobbying issues (current and anticipated)

Reduction of SEC fees, including those on security futures products
Potential establishment of U.S. financial services anti-fraud network
Farm Bill, proposed language addressing competition requirements

AFFILIATED ORGANIZATIONS

13. Is there an entity other than the client that contributes more than $10,000 to the lobbying activities of the registrant in a semiannual period and in whole or major part plans, supervises, or controls the registrant's lobbying activities?

☒ No. Go to line 14. ☐ Yes. Complete the rest of this section for each entity matchin criteria above, then proceed to line 14.

Name	Address	Principal Place of Bu (city and state or cou

FOREIGN ENTITIES

14. Is there any foreign entity that:

a) holds at least 20% equitable ownership in the client or any organization identified on line 13; or
b) directly or indirectly, in whole or in major part, plans, supervises, controls, directs, finances, or subsidizes activitie of the client or any organization identified on line 13; or
c) is an affiliate of the client or any organization identified on line 13 and has a direct interest in the outcome of the lobbying activity?

☒ No. Sign and date the registration. ☐ Yes. Complete the rest of this section for each entity matchin criteria above, the sign and date the registration.

Name	Address	Principal Place of Business (city and state or country)	Amount of contributi for lobbying activitie

Signature _____ Date 2/7/02

Printed Name and Title **Glen Johnson - Senior Vice President & Chief Financial Officer**