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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name
New York University

2. Address ☐ Check if different than previously reported
70 Washington Square South

3. Principal Place of Business (if different from line 2)

City: **New York** State/Zip (or Country) **NY 10012**

4. Contact Name	Telephone	E-mail (optional)	5. Senate ID #
Lynne P. Brown	**212-998-2350**	**lynne.brown@nyu.edu**	**29232-12**
7. Client Name Self			6. House ID # **31642000**

TYPE OF REPORT

8. Year 2002 Midyear (January 1-June 30) ☐ **OR** Year End (July 1-December 31) ☒

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date _____ 11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than $10,000 ☐

$10,000 or more X ⇨ $
Income (nearest ($20,000)

Provide a good faith estimate, rounded to the nearest $20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than $10,000 ☐

$10,000 or more ☒ ⇨ $ 220,000
Income (nearest ($20,000)

14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.

☐ **Method A.** Reporting amounts using LDA definitions only

☐ **Method B.** Reporting amounts under section 6033(b)(8) of Internal Revenue Code

☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Signature Lynne P. Brown Date 02-11-03

Printed Name and Title Lynne P. Brown, Vice President, University Relations and Public Affairs

Form LD-2 (Rev. 6/98)

Registrant Name New York University Client Name

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** provide information as requested. Attach additional page(s) as needed.

15. General issue area code ALC (One per page)

16. Specific lobbying issues

S 2766 - FY2003 Labor/HHS Appropriations Bill, Biomedical Research, Early Intervention, Prevention and Tre
activites, Drug Prevention, and Treatment Funding
FY2003 Omnibus Appropriations Bill

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Lynne P. Brown	VP, University Relations and Public Afairs
Gilda Ventresca Ecroyd	Associate Dean, Office of Government Affairs
Michael Haberman	Director, Government and Community Relation Special Counselor for Communications
Alicia D. Hurley	Director, Federal Policy

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None **X**

Signature Lynne P. Brown Date 2-11-03

Printed Name and Title Lynne P. Brown, Vice President, University Relations and Public Affairs

Registrant Name New York University Client Name

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** provide information as requested. Attach additional page(s) as needed.

15. General issue area code ART (One per page)

16. Specific lobbying issues

FY2003 Interior Appropriations, NEH/NEA funding
FY2003 Omnibus Appropriations Bill

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

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Lynne P. Brown	VP, University Relations and Public Afairs
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Michael Haberman	Director, Government and Community Relatior Special Counselor for Communications
Alicia D. Hurley	Director, Federal Policy

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None **X**

Signature Lynne P. Brown Date 2-11-03

Printed Name and Title Lynne P. Brown, Vice President, University Relations and Public Affairs

Form LD-2 (Rev. 6/98)

Registrant Name New York University Client Name

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (One per page)

16. Specific lobbying issues

S.2766/HR 5320 – Departments of Labor, Health and Human Services and Education and Related Agencies Ap funding for the National Institutes of Health.
S.2797/HR 5605 – Department of Veterans Affairs and Housing and Urban Development Appropriations for 20
HJ Res 111 – Making continuing appropriations for the fiscal year 2003 and for other purposes.
HJ Res 112, 122, 123, 124 – Making further continuing appropriations for the fiscal year 2003 and for other pur
FY2003 Related Budget Resolution Reconciliation Measures
Various Proposals related to the 9/11 disaster and funding for entities affected, first responders and supplementa
FY2003 Omnibus Appropriations Bill

17. House(s) of Congress and Federal agencies contacted ❑ Check if None

U.S. House of Representatives
U.S. Senate
DHHS
VA

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Lynne P. Brown	VP, University Relations and Public Afairs
Gilda Ventresca Ecroyd	Associate Dean, Office of Government Affairs
Michael Haberman	Director, Government and Community Relatior Special Counselor for Communications
Alicia D. Hurley	Director, Federal Policy

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None **X**

Signature Lynne P. Brown Date 2-11-03

Printed Name and Title Lynne P. Brown, Vice President, University Relations and Public Affairs

Registrant Name **New York University** Client Name

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** provide information as requested. Attach additional page(s) as needed.

15. General issue area code DEF (One per page)

16. Specific lobbying issues

HR 5010 - FY2003 Defense Appropriations Bill, Basic Research Funding
Homeland Security Measure
Comparative Functional Genomics
Various proposals related to the 9/11 disaster and homeland defense and security funding
FY2003 Omnibus Appropriations Bill

17. House(s) of Congress and Federal agencies contacted ❑ Check if None

U.S. House of Representatives
U.S. Senate
U.S. Department of Defense

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Lynne P. Brown	VP, University Relations and Public Afairs
Gilda Ventresca Ecroyd	Associate Dean, Office of Government Affairs
Michael Haberman	Director, Government and Community Relation Special Counselor for Communications
Alicia D. Hurley	Director, Federal Policy

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None **X**

Signature Lynne P. Brown Date

Printed Name and Title Lynne P. Brown, Vice President, University Relations and Public Affairs

Registrant Name **New York University** Client Name

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** provide information as requested. Attach additional page(s) as needed.

15. General issue area code EDU (One per page)

16. Specific lobbying issues

S 2766 - FY2003 Labor, HHS, Education Appropriations Bill, Student Financial Aid
Student Loan Forgiveness Act
Education Savings Accounts
FY2003 Omnibus Appropriations Bill
HEA Reauthorization

17. House(s) of Congress and Federal agencies contacted ❑ Check if None

U.S. House of Representatives
U.S. Senate
U.S. Department of Education

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Lynne P. Brown	VP, University Relations and Public Afairs
Gilda Ventresca Ecroyd	Associate Dean, Office of Government Affairs
Michael Haberman	Director, Government and Community Relatior Special Counselor for Communications
Alicia D. Hurley	Director, Federal Policy

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None **X**

Signature Lynne P. Brown Date 02-11-03

Printed Name and Title Lynne P. Brown, Vice President, University Relations and Public Affairs

Form LD-2 (Rev. 6/98)

Registrant Name **New York University** Client Name

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** provide information as requested. Attach additional page(s) as needed.

15. General issue area code ENG (One per page)

16. Specific lobbying issues

S 2784 - FY2003 Energy and Water Appropriations Bill, Energy Research; Comparative Functional Genomics I
S 2797 - FY2003 VA/HUD/EPA Appropriations Bill
FY2003 Omnibus Appropriations Bill

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

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Lynne P. Brown	VP, University Relations and Public Afairs
Gilda Ventresca Ecroyd	Associate Dean, Office of Government Affairs
Michael Haberman	Director, Government and Community Relation Special Counselor for Communications
Alicia D. Hurley	Director, Federal Policy

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Signature Lynne P. Brown Date 02-11-03

Printed Name and Title Lynne P. Brown, Vice President, University Relations and Public Affairs

Form LD-2 (Rev. 6/98)

Registrant Name New York University Client Name

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15. General issue area code ENV (One per page)

16. Specific lobbying issues

S 2797 - FY2003 VA/HUD/EPA Appropriations
Various proposals related to the 9/11 disaster and funding for environmental cleanup
FY2003 Omnibus Appropriations Bill

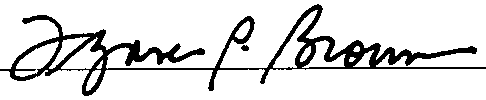
17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

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Alicia D. Hurley	Director, Federal Policy

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Signature  Date 02-11-03

Printed Name and Title Lynne P. Brown, Vice President, University Relations and Public Affairs

Registrant Name **New York University** Client Name

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** provide information as requested. Attach additional page(s) as needed.

15. General issue area code FOR (One per page)

16. Specific lobbying issues

S 2778 - FY2003 Commerce, Justice State, Appropriations Bill, USIA Funding, Educational and Cultural Excha
S 2779 - Foreign Operations Bill
Various proposals related to the 9/11 disaster and changes to immigration policy

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
U.S. Senate
U.S. Agricultural for International Development
U.S. Information Agency

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Lynne P. Brown	VP, University Relations and Public Afairs
Gilda Ventresca Ecroyd	Associate Dean, Office of Government Affairs
Michael Haberman	Director, Government and Community Relation Special Counselor for Communications
Alicia D. Hurley	Director, Federal Policy

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None **X**

Signature Lynne P. Brown Date 02-11-03

Printed Name and Title Lynne P. Brown, Vice President, University Relations and Public Affairs

Registrant Name **New York University** Client Name_____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (One per page)

16. Specific lobbying issues

S 2766 - FY2003 Labor, HHS Appropriations Bill, Early Intervention prevention and treatment
S.1052 – Bipartisan Patient Protection Act 2001
S.1588,S.1684 – A bill to provide a one year extension of the date for compliance by certain covered entities wit
administrative standards for electronic transactions and code sets issued in accordance wit the Health Ir
Portability and Accordance.
H.R. 5005/S.2452 – National Homeland Security and Combating Terrorism Act 2002.
H.R. 3253/S.2132 – VA Medical Emergency Preparedness Centers
H.R. 3450/S.1533 -- Health Safety Net Improvement Act
H.R. 4066/S.543 -- Mental Health Equitable Treatment Act of 2002
H.R. 5412 -- Improved Nutrition and Physical Activity Act (IMPACT)
Title IV- Ryan White Care Funding/ AIDS Appropriation Issues
Tracked and monitored clinical research subject protection initiatives of the DHHS, VA and House.
Various proposals related to the 9/11 disaster and funding for forensic identification and cleanup
FY2003 Omnibus Appropriations Bill

17. House(s) of Congress and Federal agencies contacted ❑ Check if None

U.S. House of Representatives
U.S. Senate
DHHS
VA

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Lynne P. Brown	VP, University Relations and Public Afairs
Gilda Ventresca Ecroyd	Associate Dean, Office of Government Affairs
Michael Haberman	Director, Government and Community Relatior Special Counselor for Communications
Alicia D. Hurley	Director, Federal Policy

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None **X**

Signature Lynne P. Brown Date 2-11-03

Lynne P. Brown, Vice President, University Relations and Public Affairs

Printed Name and Title Lynne P. Brown, Vice President, University Relations and Public Affairs

Form LD-2 (Rev. 6/98)

Registrant Name **New York University** Client Name

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** provide information as requested. Attach additional page(s) as needed.

15. General issue area code IMM (One per page)

16. Specific lobbying issues

S 2778 - FY2003 Commerce, Justice, State Appropriations Bill, Programs impacting immigrants, refugees, and
Various Proposals, re: Legal Immigration H1-B Visa Matters, foreign student tracking
Legal Immigration Legislative Proposals, varied
Various proposals related to the 9/11 disaster and changes in immigration and visa policy

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
U.S. Senate
U.S. Department of Labor

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Lynne P. Brown	VP, University Relations and Public Afairs
Gilda Ventresca Ecroyd	Associate Dean, Office of Government Affairs
Michael Haberman	Director, Government and Community Relation Special Counselor for Communications
Alicia D. Hurley	Director, Federal Policy

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None **X**

Signature Lynne P. Brown Date 02-11-03

Printed Name and Title Lynne P. Brown, Vice President, University Relations and Public Affairs

Form LD-2 (Rev. 6/98)

Registrant Name **New York University** Client Name

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** provide information as requested. Attach additional page(s) as needed.

15. General issue area code MED (One per page)

16. Specific lobbying issues

H.R.3253/S.2132 – VA Medical Emergency Preparedness Centers
S 2766 - FY2003 Labor, HHS Appropriations Bill, NIH/Biomedical Research, Health Care Services
Genomics Issues, Dental Facilities
Dental Graduate Medical Education
FY2003 Omnibus Appropriations Bill

17. House(s) of Congress and Federal agencies contacted ❑ Check if None

U.S. House of Representatives
U.S. Senate
DHHS
VA

18. Name of each individual who acted as a lobbyist in this issue area

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Lynne P. Brown	VP, University Relations and Public Afairs
Gilda Ventresca Ecroyd	Associate Dean, Office of Government Affairs
Michael Haberman	Director, Government and Community Relation Special Counselor for Communications
Alicia D. Hurley	Director, Federal Policy

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None **X**

Signature Lynne P. Brown Date 02-11-03

Printed Name and Title Lynne P. Brown, Vice President, University Relations and Public Affairs

Registrant Name **New York University** Client Name **Self**

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** provide information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (One per page)

16. Specific lobbying issues

H.R. 4659 – Home Health Nurse and Patient Act
S.2391 – Medicaid DSH Equity Act 2002
H.R.4832 – Prescription Drug Comparative Effectiveness Act 2002
S.2736 – Medicare Prescription Drug Discount and Security Act 2002
FY2002 Related Budget Resolution Reconciliation Measures
President's Medicare Reform Proposal
Dental Graduate Medical Education

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
U.S. Senate
DHHS

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Lynne P. Brown	VP, University Relations and Public Afairs
Gilda Ventresca Ecroyd	Associate Dean, Office of Government Affairs
Michael Haberman	Director, Government and Community Relation Special Counselor for Communications
Alicia D. Hurley	Director, Federal Policy

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None **X**

Signature Lynne P. Brown Date 02-11-03

Printed Name and Title Lynne P. Brown, Vice President, University Relations and Public Affairs

Form LD-2 (Rev. 6/98)

Registrant Name **New York University** Client Name

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** provide information as requested. Attach additional page(s) as needed.

15. General issue area code SCI (One per page)

16. Specific lobbying issues

S 2797 - FY2003 VA/HUD/EPA Appropriations Bill
S2784 - FY2003 Energy and Water Appropriations Bill
Science Authorization Proposals, varied
Various proposals related to the 9/11 disaster and funding for research and development
FY2003 Omnibus Appropriations Bill

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Lynne P. Brown	VP, University Relations and Public Afairs
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Alicia D. Hurley	Director, Federal Policy

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None **X**

Signature Lynne P. Brown Date 02-11-03

Printed Name and Title Lynne P. Brown, Vice President, University Relations and Public Affairs

Form LD-2 (Rev. 6/98)

Registrant Name **New York University** Client Name

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (One per page)

16. Specific lobbying issues

Varied Tax Legislative Proposals Regarding Non-profits, Charitable Donations, Tax-Exempt Financing

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
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Signature Lynne P. Brown Date 2-11-03

Printed Name and Title Lynne P. Brown, Vice President, University Relations and Public Affairs