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Clerk of the House of Representatives	Secretary of the Senate
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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required to Complete This Page**

1. Registrant name

New York Stock Exchange, Inc.

2. Address ☐ Check if different than previously reported

801 Pennsylvania Avenue, NW Suite 630

Washington DC 20004 US

3. Principal place of business (if different than line 2)

New York NY 10005 US

City State/Zip or Country

4a. Contact Name	b. Telephone number	c. E-mail	5. Senate ID #
Mr. Kevin R. Edgar	202.347.4300	kedgar@nyse.com	29221-1

7. Client Name ☒ Self

New York Stock Exchange, Inc.

6. House ID # 312820C

TYPE OF REPORT 8. Year 2004 Midyear (January 1-June30) ☐ OR Year End (July 1-Decembe

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date _____ 11. No Lobbying Act

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than $10,000 ☐

$10,000 or more ☐ ⇨ $ _____

Provide a good faith estimate, rounded to the nearest $20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporti were:

Less than $10,000 ☐

$10,000 or more ☒ ⇨ $ 140,000

14. REPORTING METHOD. Check box to indicate exp accounting method. See instructions for description of op

☐ **Method A.** Reporting amounts using LDA definitions

☐ **Method B.** Reporting amounts under section 6033(b)(Internal Revenue Code

☒ **Method C.** Reporting amounts under section 162(e) o Revenue Code

Edit >

Signature Kevin R. Edgar Date 2/14/05

Printed Name and Title Kevin R. Edgar, Special Counsel and Director

Registrant Name New York Stock Exchange, Inc. Client Name New York Stock Exchange, Inc.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each cod** information as requested. Attach additional page(s) as needed.

15. General issue area code FIN - Financial Institutions/Investments/Securiti (one per page)

16. Specific lobbying issues

NYSE Hybrid Market, Securities Market Structure issues, SEC Proposed Regulation NMS
NYSE Corporate Governance, NYSE Listed Company Corporate Governance
NYSE Regulation, SRO governance, Arbitration,
Post 9/11 issues: business continuity planning, physical security, information security
NYSE Automated Bond System

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives, U.S. Senate
U.S. Securities and Exchange Commission
U.S. Department of the Treasury, U.S. Department of Homeland Security, U.S. Department of Commerce
Executive Office of the President

18. Name of each individual who acted as a lobbyist in this issue area

Name			Covered Official Position (if applicable)
Ananias "Andy"	Blocker	III	
Kevin	Edgar		
Allen "Beau"	Greenwood	Jr.	
Linda Dallas	Rich		Sr. Counsel, House Financial Services Committee
Cecile	Srodes		
Veronica	Sullivan		Chief of Staff to Congressman Anthony Weiner

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Kevin R. Date 2/14/05