Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF THE SEN
06 FEB 1
5: 3

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required to Complete This Page**

1. Registrant name

NASD

2. Address ☐ Check if different than previously reported

1735 K Street NW

Washington DC 20006 USA

3. Principal place of business (if different than line 2)

City State/Zip or Country

4a. Contact Name b. Telephone number c. E-mail

Ms. Marcia Asquith (202) 728-8831 marcia.asquith@nasd.com

5. Senate ID # 304097-12

7. Client Name ☒ Self

NASD

6. House ID # 36425000

TYPE OF REPORT 8. Year 2005 Midyear (January 1-June30) ☐ OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date _____ 11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than $10,000 ☐

$10,000 or more ☐ ⇨ $ _____

Provide a good faith estimate, rounded to the nearest $20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting pe were:

Less than $10,000 ☐

$10,000 or more ☒ ⇨ $ 320,000

14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.

☒ **Method A.** Reporting amounts using LDA definitions only

☐ **Method B.** Reporting amounts under section 6033(b)(8) of t Internal Revenue Code

☐ **Method C.** Reporting amounts under section 162(e) of the I Revenue Code

Edit Form > File with H

Senate Password File with Se

Signature Marcia E Asquith Date 2/14/2006

Digitally signed by Marcia E Asquith
DN: CN = Marcia E Asquith, C = US, O = DST ACES Business Representative, OU = ACES Trust2 Business Certificate
Reason: I attest to the accuracy and integrity of this document
Date: 2006.02.14 16:07:15 -05'00'

Printed Name and Title Marcia E. Asquith Vice President Government Relations

LD-2DS (Rev. 4.06)

00000715504

Registrant Name NASD Client Name NASD

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the re engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** information as requested. Attach additional page(s) as needed.

15. General issue area code FIN - Financial Institutions/Investments/Securiti (one per page)

16. Specific lobbying issues

Regulation of the securities markets and the structure of the self regulatory system; investor protection and education; financial education for the miltiary.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

HOUSE
SENATE
SEC

18. Name of each individual who acted as a lobbyist in this issue area

Name		Covered Official Position (if applicable)
Marcia	Asquith	
Howard	Schloss	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature *Document digitally signed on Page 1.* Date 2/14/2006

Printed Name and Title Marcia E. Asquith Vice President Government Relations

10007505