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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name
National Association of Small Business Investment Companies

2. Address ☐ Check if different than previously reported
666 11th Street, NW #750 Washington, DC 20001

3. Principal Place of Business (if different from line 2)
City: Same State/Zip (or Country)

4. Contact Name	Telephone	E-mail (optional)	5. Senate ID #
Lee W. Mercer	(202) 628-5055	lmercer@nasbic.org	27126-12

7. Client Name ☒ Self

6. House ID #
30356000

TYPE OF REPORT 8. Year 1999 Midyear (January 1-June 30) ☐ **OR** Year End (July 1-December 31) ☒

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date_____ 11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than $10,000 ☐

$10,000 or more ☐ ⇨ $_____
Income (nearest $20,000)

Provide a good faith estimate, rounded to the nearest $20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than $10,000 ☐

$10,000 or more ☒ ⇨ $ 20,000.00
Expenses (nearest $20,000)

14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.

☐ **Method A.** Reporting amounts using LDA definitions only

☒ **Method B.** Reporting amounts under section 6033(b)(8)of the Internal Revenue Code

☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Signature Lee W Mercer

Printed Name and Title Lee W. Mercer, President

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Registrant Name Nat. Assn. of Small Business Investment Companies Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code SMB (one per page)

16. Specific lobbying issues

H.R. 68 SBIC Technical Corrections Act
S. 364 Small Business Improvement Act of 1999
S. 1217 Appropriations for the Department of Commerce, Justice and State, the Judiciary, and related agencies for the fiscal year ending Sept. 30, 2000

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

United States House of Representatives
United States Senate
U.S. Small Business Administration

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Lee W. Mercer		☐
		☐
		☐
		☐
		☐
		☐
		☐
		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Lee W Mercer Date 14 February 2000

Printed Name and Title Lee W. Mercer, President

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