Clerk of the House of Representatives	Secretary of the Senate
Legislative Resource Center	Office of Public Records
B-106 Cannon Building	232 Hart Building
Washington, DC 20515	Washington, DC 20510

Secretary of the Senate
Received: Feb 13, 2002

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

UNITED TECHNOLOGIES CORP

2. Address:
1401 EYE ST NW, SUITE 600, WASHINGTON, DC 20005

3. Principal place of business (if different from line 2):
City: HARTFORD State/Zip(or Country): CT 06101

4. Contact Name: JOHN NICHOLS
Telephone: 202-336-7414
E-mail (optional): nicholsj@corpdc.utc.com

Senate ID #: 39267-12
House ID #: 3076400

7. Client Name: ☒ Self

TYPE OF REPORT

8. Year 2001 Midyear (January 1 - June 30): ☐ **OR** Year End (July 1 - December 31): ☒

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than $10,000: ☐

$10,000 or more: ☐ => Income (nearest $20,000): _____

Provide a good faith estimate, rounded to the nearest $20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than $10,000: ☐

$10,000 or more: ☒ => Expenses (nearest $20,000): 1,841,653.00

14. Reporting Method.
Check box to indicate expense accounting method. See instructions for description of options.

☐ **Method A.** Reporting amounts using LDA definitions only
☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code
☒ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: AER (one per page)

16. Specific lobbying issues:

NASA Appropriations

17. House(s) of Congress and Federal agencies contacted:
HOUSE OF REPRESENTATIVES
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BULLARD, ED
Covered Official Position (if applicable): N/A
Name: BULLARD, ED
Covered Official Position (if applicable): N/A
Name: COEN, LISA
Covered Official Position (if applicable): N/A
Name: COEN, M. LISBETH
Covered Official Position (if applicable): N/A
Name: COLLINS, EVA
Covered Official Position (if applicable): N/A
Name: COLLINS, EVA
Covered Official Position (if applicable): N/A
Name: KOPECKY, JOHN J.
Covered Official Position (if applicable): N/A
Name: KOPECKY, JOHN J.
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above.**None**

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: AVI (one per page)

16. Specific lobbying issues:

ICAO Art 84, Repair Station, Balanced Approach, FAA Appropriations, Sale of large commercial engines and USG advocacy, Track foreign regulation of jet engines, FAA regulations affecting jet engines

17. House(s) of Congress and Federal agencies contacted:
Commerce, Dept of (DOC)
Federal Aviation Administration (FAA)
HOUSE OF REPRESENTATIVES
SENATE
State, Dept of (DOS)
Transportation, Dept of (DOT)
U.S. Trade Representative (USTR)
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BULLARD, ED
Covered Official Position (if applicable): N/A
Name: COLLINS, EVA
Covered Official Position (if applicable): N/A
Name: ROBERT, WADE H.
Covered Official Position (if applicable): N/A
Name: WALSH, SUSAN M.
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above.**None**

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BUD (one per page)

16. Specific lobbying issues:

Museum Funding (VA-HUD Appropriations), Export-Import Bank Appropriations (HR 2506), Defense Export Loan Guarantee (HR 3338), Space (HR 2620), Interior (HR 2217)

17. House(s) of Congress and Federal agencies contacted:
HOUSE OF REPRESENTATIVES
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CANEVARI, HOLLY
Covered Official Position (if applicable): STAFF MEMBER FOR REP. JOHN LARSON (D-CT)
Name: GRANDJEAN, SHERRY
Covered Official Position (if applicable): PROFESSIONAL STAFF MEMBER FOR SENATE FOREIGN RELATIONS COMMITTEE
Name: MANDYCK, JOHN
Covered Official Position (if applicable): N/A
Name: PEACE, CHRIS
Covered Official Position (if applicable): STAFF MEMBER FOR REP. DAVID HOBSON (R-OH)
Name: SUMMERS, MICHAEL H.
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above.**None**

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: DEF (one per page)

16. Specific lobbying issues:

Defense Export Loan Guarantee (HR 3338), DoD Budget (S 1438 FY '02 DoD Authorization Bill), DoD Appropriations, TRAC Act

17. House(s) of Congress and Federal agencies contacted:
Defense, Dept of (DOD)
HOUSE OF REPRESENTATIVES
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BULLARD, ED
Covered Official Position (if applicable): (EFFECTIVE 07/01/99)
Name: BULLARD, ED
Covered Official Position (if applicable): N/A
Name: COEN, LISA
Covered Official Position (if applicable): N/A
Name: GRANDJEAN, SHERRY
Covered Official Position (if applicable): PROFESSIONAL STAFF MEMBER FOR SENATE FOREIGN RELATIONS COMMITTEE
Name: HELLIER, RICHARD G.
Covered Official Position (if applicable): N/A
Name: HUMPHRIES, JACK
Covered Official Position (if applicable): N/A
Name: KOPECKY, JOHN J.
Covered Official Position (if applicable): N/A
Name: PEACE, CHRIS
Covered Official Position (if applicable): STAFF MEMBER FOR REP. DAVID HOBSON (R-OH)
Name: RISSEEUW, HUGH
Covered Official Position (if applicable): N/A
Name: ROBERT, WADE H.
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above.**None**

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ENG (one per page)

16. Specific lobbying issues:

Fuel Cell Commercialization (HR 1830, S 883, S 596, S 597, S 388, S 389, S 1766), Seasonal Energy Efficiency Ratio for Air Conditioners (HR 4)

17. House(s) of Congress and Federal agencies contacted:
HOUSE OF REPRESENTATIVES
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BAYER, JUDITH
Covered Official Position (if applicable): N/A
Name: CANEVARI, HOLLY
Covered Official Position (if applicable): STAFF MEMBER FOR REP. JOHN LARSON (D-CT)
Name: MANDYCK, JOHN
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above.**None**

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: FOR (one per page)

16. Specific lobbying issues:

Export-Import Bank Appropriations (HR 2506), State Department Authorization (HR 1646), Export-Import Bank Loan Guarantee Facility for Turkey, Sale of large commercial engines and USG advocacy, Track foreign regulation of jet engines, Foreign Operations Appropriations

17. House(s) of Congress and Federal agencies contacted:
HOUSE OF REPRESENTATIVES
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: COLLINS, EVA
Covered Official Position (if applicable): N/A
Name: GRANDJEAN, SHERRY
Covered Official Position (if applicable): PROFESSIONAL STAFF MEMBER FOR SENATE FOREIGN RELATIONS COMMITTEE
Name: WALSH, SUSAN M.
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above.**None**

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: SCI (one per page)

16. Specific lobbying issues:

NASA Budget (NASA Authorization)

17. House(s) of Congress and Federal agencies contacted:
HOUSE OF REPRESENTATIVES
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HUMPHRIES, JACK
Covered Official Position (if applicable): N/A
Name: KOPECKY, JOHN J.
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above.**None**

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

Fuel Cell Tax Credit (HR 1275, S 828, HR 4, S 596, S 388, S 1766), R&D Tax Credit (HR 41, S 41), Bonus Depreciation (HR 3090)

17. House(s) of Congress and Federal agencies contacted:
HOUSE OF REPRESENTATIVES
SENATE
Treasury, Dept of

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BAYER, JUDITH
Covered Official Position (if applicable): N/A
Name: PAULSON, KRISTIN
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above.**None**

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TRA (one per page)

16. Specific lobbying issues:

Sale of large commercial engines and USG advocacy, FAA regulations affecting jet engines

17. House(s) of Congress and Federal agencies contacted:
Federal Aviation Administration (FAA)
HOUSE OF REPRESENTATIVES
SENATE
Transportation, Dept of (DOT)

18. Name of each individual who acted as a lobbyist in this issue area:

Name: WALSH, SUSAN M.
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above.**None**

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TRD (one per page)

16. Specific lobbying issues:

Export-Import Bank Reauthorization (HR 2871, S 1372), India Sanctions, Arms Sales Licenses, Export-Import Bank Loan Guarantee Facility for Turkey, General Trade Issues, TPA (HR 3005), NTR for China (H. Res.), Vietnam Trade (HJ Res. 51/55), Exp. Admin Act (S 149), WTO Cases & Associated Policy Strategy on ETI/FSC, Export Control License (Foreign Trade Control Reform), Rolls Royce Subsidies

17. House(s) of Congress and Federal agencies contacted:
Commerce, Dept of (DOC)
Defense, Dept of (DOD)
Export-Import Bank of the United States (EXIM Bank)
HOUSE OF REPRESENTATIVES
SENATE
State, Dept of (DOS)
Treasury, Dept of
U.S. Trade Representative (USTR)
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: COLLINS, EVA
Covered Official Position (if applicable): N/A
Name: GRANDJEAN, SHERRY
Covered Official Position (if applicable): PROFESSIONAL STAFF MEMBER FOR SENATE FOREIGN RELATIONS COMMITTEE
Name: HUMPHRIES, JACK
Covered Official Position (if applicable): N/A
Name: JORDAN, PETER
Covered Official Position (if applicable): N/A
Name: MANDYCK, JOHN
Covered Official Position (if applicable): N/A
Name: PAULSON, KRISTIN
Covered Official Position (if applicable): N/A
Name: PREISS, JEREMY
Covered Official Position (if applicable): N/A
Name: ROBERT, WADE H.
Covered Official Position (if applicable): N/A
Name: WALSH, SUSAN M.
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above.**None**

Signature: ON FILE Date: Feb 13, 2002

Printed Name and Title: KRISTIN PAULSON - MANAGER CONGRESSIONAL AFFAIRS

Information Update Page:

Complete ONLY where registration information has changed.

Client's new name
United Technologies Corporation
Client's old name
UNITED TECHNOLOGIES CORP

LOBBYIST UPDATE

23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client

Name: COEN, LISA (CHANGE TO COEN, M.
Name: COEN, M. LISBETH
Name: COLLINS, EVA

ISSUE UPDATE

24. General lobbying issues previously reported that NO LONGER pertain

AFFILIATED ORGANIZATIONS

25. Add the following organization(s)

26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization

Signature: ON FILE Date: Feb 13, 2002

Printed Name and Title: -