Clerk of the House of Representatives	Secretary of the Senate
Legislative Resource Center	Office of Public Records
B-106 Cannon Building	232 Hart Building
Washington, DC 20515	Washington, DC 20510

SECRETARY OF THE SEN/

01 AUG 15 AM 9:5

LOBBYING REPORT

Lobbying Disclosure Act (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name
The ERISA Industry Committee

2. Address ☐ Check if different than previously reported
1400 L Street, NW Suite 350 Washington, DC 20005-3509

3. Principal Place of Business (if different from line 2)

City: State/Zip (or Country)

4. Contact Name	Telephone	E-mail (optional)	5. Senate ID#
Deborah Chin	**202/789-1400**	**dchin@eric.org**	**13803-12**

7. Client Name ☒ Self — 6. House ID # **32318000**

TYPE OF REPORT

8. Year **2001** XX Midyear (January 1-June 30) **OR** Year End (July 1-Decem

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date_____ 11. No Lobbying Acti

INCOME OR EXPENSES - Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than $10,000 ☐

$10,000 or more ☐ ⇨ $_____
Income (nearest $20,000)

Provide a good faith estimate, rounded to the nearest $20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organization

EXPENSES relating to lobbying activities for this rep were:

Less than $10,000 ☐

$10,000 or more ☒ ⇨ **$220,000.00**
Expense (nearest $

14. **REPORTING METHOD.** Check box to indicate accounting method. See instructions for description o

☐ **Method A.** Reporting amounts using LDA det

☐ **Method B.** Reporting amounts using LDA sec 6033(b)(8) of the Internal Revenue

☒ **Method C.** Reporting amounts under section 1 Internal Revenue Code

S

[redacted]

Registrant Name **The ERISA Industry Committee** Client Name

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant e lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as re Attach additional page(s) as needed.

15. General issues area code **HCR** (one per page)

16. Specific lobbying issues

S.6 Patients' Bill of Rights Act
S.10 Medicare Prescription Drug Coverage Act 2001
S.1052 BiPartisan Patient Protection Act
S.1055 Privacy Act of 2001
S.283 Bi-Partisan Patient Protection Act of 2001
S.543 Mental Health Equitable Treatment Act of 2001
S.623 Extending COBRA
S.683 The Fair Care for the Uninsured Act of 2001
S.837 Defines Serice Providers
S.872 BiPartisan Patients Bill of Rights Act of 2001
S.889 BiPartisan Patients Bill of Rights Act of 2001
HIPAA - Non-Discrimination Rules Medical Privacy Rules

H.R. 602 Genetic Nondiscrimination in Health Insurance and Employment Act of 2001
H.R.1255 Extending COBRA
H.R.1322 Emergency iree Health Benefits Protection Act
H.R.1331 The Fair Care for the Uninsured Act of 2001
H.R.1663 Health Care Coverage
H.R.2315 Patients' Bill of Rights Act of 2001
H.R.2563 Bi-Partisan Patient Protection Act
H.R.526 BiPartisan Patient Protection Act of 2001
H.R.583 Workplace Privacy Study Commission

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
Senate
Health Care Financing Administration
Pension & Welfare Benefits Administration
White House
DOL

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	Ne
Mark J. Ugoretz	**President**	☐
Anthony J. Knettel	**Vice President, Health Affairs**	☐
		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

[redacted]

Registrant Name **The ERISA Industry Committee** Client Name _____

Information Update Page - Complete Only where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues previously reported that **no longer** pertain

LBR _____ _____ _____ _____ _____ _____ _____

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Bus (city and state or cou
None.		

26. Name of each previously reported organization, that is **no longer** affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal Place of Business (city and state or country)	Amount of Contribution for Lobbying Activities	Ov Percent
None.				

28. Name of each previously reported foreign entity that **no longer** owns, or controls, or is affiliated with the registrant, affiliated organization

This report includes Three (s) Addendum sections.

Signature _____ Date 8/13/01

Printed Name and Title **Deborah Chin, Director of Adminstration**

[redacted]

LOBBYING REPORT ADDENDUM
1 of 2

Registrant Name **The ERISA Industry Committee** Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant e lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as re Attach additional page(s) as needed.

15. General issues area code **RET** (one per page)

16. Specific lobbying issues

S.20 Pension Reform
S.220 Bankruptcy Reform
S.240 Bankruptcy Reform
S.742 irement Savings and Security Act
S.848 Prohibit Sale, Purchase, or Display of Social Sec. #
S.1383 Treatment of ISOs and ESPPs

H.R.10 Pension Reform
H.R.333 Bankruptcy Reform
H.R.1026 Increased IRAs for All Act of 2001
H.R.1102 Pension Reform
H.R.2036 Social Security Number Privacy and Identity Theft Prevention Act of 2001
H.R.2269 Investment Advice
H.R.2558 Teacher Voluntary Early irement Act of 2001
H.R.2695 Treatment of ISOs and ESPPs
H.R.2794 AMT Relief for ISOs

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
Senate
Treasury Department
Department of Labor
Pension Benefit Guaranty Corporation
IRS
General Accounting Office
Social Security Administration
Health Human Services

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	Ne
Mark J. Ugoretz	**President**	☐
Janice M. Gregory	**Vice President**	☐
Nicholas S. Curabba	**Legislative Representative**	☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

[redacted]

LOBBYING REPORT ADDENDUM
2 of 2

Registrant Name **The ERISA Industry Committee** Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant e lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as re Attach additional page(s) as needed.

15. General issues area code **TAX** (one per page)

16. Specific lobbying issues

H.R.1836 Tax Act Passed in the House

S.9 Working Family Tax Relief Act 2001
S.590 Refundable Tax Credit

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
Senate
Treasury Department
Department of Labor
Pension Benefit Guaranty Corporation

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	Ne
Mark J. Ugoretz	**President**	☐
Janice M. Gregory	**Vice President**	☐
Nicholas S. Curabba	**Legislative Representative**	☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

[redacted]