00020401679

Clerk of the House of Representatives	Secretary of the Senate
Legislative Resource Center	Office of Public Records
B-106 Cannon Building	232 Hart Building
Washington, DC 20515	Washington, DC 20510

SECRETARY OF THE
02 DEC 20 AM

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name

Alcoa Inc.

2. Address ☐ Check if different than previously reported

1909 K Street, NW - Suite 750

3. Principal Place of Business (if different from line 2)

City: Washington State/Zip (or Country) DC 20006-1171

4. Contact Name	Telephone	E-mail (optional)	5. Senate ID #
Russell C. Wisor	(202) 956-5306		30326000

7. Client Name ☐ Self

6. House ID # 30326000

TYPE OF REPORT 8. Year 2002 Midyear (January 1-June 30) ☑ **OR** Year End (July 1-Dec

9. Check if this filing amends a previously filed version of this report ☑

10. Check if this is a Termination Report ☐ ⇨ Termination Date _____ 11. No Lobbying

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than $10,000 ☐

$10,000 or more ☐ ⇨ $_____
Income (nearest $20,000)

Provide a good faith estimate, rounded to the nearest $20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this rep period were:

Less than $10,000 ☐

$10,000 or more ☑ ⇨ $ 720,000.00
Expenses (nearest $20,0

14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of

☐ **Method A.** Reporting amounts using LDA definit

☐ **Method B.** Reporting amounts under section 603 Internal Revenue Code

☐ **Method C.** Reporting amounts under section 162 Internal Revenue Code

Signature Russell C Wisor

Printed Name and Title Russell C. Wisor - Vice President, Government Affairs

Registrant Name Alcoa Inc. (30326000) Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registra engaged in lobbying on behalf of client during the reporting period. **Using a separate page for each code**, provi information as requested. Attach additional page(s) as needed.

15. General issue area code ENV (one per page)

16. Specific lobbying issues

Global Climate Change Issues

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. Senate
U.S. House of Representatives
Environmental Protection Agency

18. Name of each individual who acted as lobbyist in this issue area

Name	Covered Official Position (if applicable)
Lee H. Califf Manager, Government Affairs	
Russell C. Wisor Vice President, Government Affairs	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None

Signature Russell C Wisor Date: 2002 December 19

Printed Name and Title Russell C. Wisor - Vice President, Government Affairs

Filing #bfb500a4-55da-4f67-8d71-7ea4636d7998 - Page 3 of 18

Registrant Name Alcoa Inc. (30326000) Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registra engaged in lobbying on behalf of client during the reporting period. **Using a separate page for each code**, provi information as requested. Attach additional page(s) as needed.

15. General issue area code UTI (one per page)

16. Specific lobbying issues

Issues relating to the relicensing of hydroelectric generating facilities by the Federal Energy Regulatory Commission.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. Senate
U.S. House of Representatives
Federal Energy Regulatory Commission

18. Name of each individual who acted as lobbyist in this issue area

Name	Covered Official Position (if applicable)
Russell C. Wisor Vice President, Government Affairs	
Lee H. Califf Manager, Government Affairs	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None

Signature Russell C Wisor Date 2002 December 19

Printed Name and Title Russell C. Wisor - Vice President, Government Affairs

Registrant Name Alcoa Inc. (30326000) Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registra engaged in lobbying on behalf of client during the reporting period. **Using a separate page for each code**, provi information as requested. Attach additional page(s) as needed.

15. General issue area code ENG (one per page)

16. Specific lobbying issues

Issues relating to the operation of the Bonneville Power Administration.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. Senate
U.S. House of Representatives
Department of Energy
The White House
Bonneville Power Administration

18. Name of each individual who acted as lobbyist in this issue area

Name	Covered Official Position (if applicable)	
Lee H. Califf Manager, Government Affairs		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None

Signature Russell C Wisor Date 2002 December 19

Printed Name and Title Russell C. Wisor - Vice President, Government Affairs

Registrant Name Alcoa Inc. (30326000) Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registra engaged in lobbying on behalf of client during the reporting period. **Using a separate page for each code**, provi information as requested. Attach additional page(s) as needed.

15. General issue area code ENG (continued) (one per page)

16. Specific lobbying issues

H.R. 2587 - The Energy Advancement and Conservation Act, General energy issues
H.R. 1647 - The Electricity Emergency Relief Act, Power Remarketing Rights
H.R. 1974 - Western States Energy Consumer Protection Act of 2001, Price Caps
H.R. 264 - To require the Federal Energy Regulatory Commission to return to the cost-based regulation of wholesale interstate sales of electricity, and for other purposes, Price
H.R. 3406 - The Electric Supply and Transmission Act

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives

18. Name of each individual who acted as lobbyist in this issue area

Name	Covered Official Position (if applicable)
Lee H. Califf Manager, Government Affairs	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None

Signature Russell C Wisor Date 2002 December 19

Printed Name and Title Russell C. Wisor - Vice President, Government Affairs

Registrant Name Alcoa Inc. (30326000) Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registra engaged in lobbying on behalf of client during the reporting period. **Using a separate page for each code**, provi information as requested. Attach additional page(s) as needed.

15. General issue area code ENG (continued) (one per page)

16. Specific lobbying issues

S. 26 - A bill to amend the Department of Energy Authorization Act to authorize the Secretary of Er to impose interim limitations on the cost of electric energy to protect consumers from unjust unreasonable prices in the electric energy market, Price Caps

S. 287 - A bill to direct the Federal Energy Regulatory Commission to impose cost-of-service based r on sales by public utilities of electric energy at wholesale in the western energy market, Price

S. 388 - The National Energy Security Act of 2001, Electric Energy Reform

S. 389 - The National Energy Security Act of 2001, Electric Energy Reform

S. 597 - The Comprehensive and Balanced Energy Policy Act of 2001, Electric Energy Reform

S. 764 - A bill to direct the Federal Energy Regulatory Commission to impose just and reasonable load-differentiated demand rates or cost-of-service based rates on sales by public utilities of electric energy at wholesale in the western energy market, Price Caps

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

Senate

18. Name of each individual who acted as lobbyist in this issue area

Name	Covered Official Position (if applicable)	I
Lee H. Califf Manager, Government Affairs		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None

Signature Russell C Wisor Date 2002 December 19

Printed Name and Title Russell C. Wisor - Vice President, Government Affairs

Filing #bfb500a4-55da-4f67-8d71-7ea4636d7998 - Page 11 of 18

Registrant Name Alcoa Inc. (30326000) Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registra engaged in lobbying on behalf of client during the reporting period. **Using a separate page for each code**, provi information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific lobbying issues

Elimination of tariff and non tariff barriers to world aluminum trade.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
U.S. Senate
Office of the United States Trade Representative
Department of Commerce

18. Name of each individual who acted as lobbyist in this issue area

Name	Covered Official Position (if applicable)	
Russell C. Wisor Vice President, Government Affairs		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None

Signature Russell C Wisor Date 2002 December 19

Printed Name and Title Russell C. Wisor - Vice President, Government Affairs

Registrant Name Alcoa Inc. (30326000) Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registra engaged in lobbying on behalf of client during the reporting period. **Using a separate page for each code**, provi information as requested. Attach additional page(s) as needed.

15. General issue area code DEF (one per page)

16. Specific lobbying issues

- FY2003 Defense Department Appropriations Issues.
- FY2003 Defense Department Appropriations Issues.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. Senate
U.S. House of Representatives

18. Name of each individual who acted as lobbyist in this issue area

Name	Covered Official Position (if applicable)	
Russell C. Wisor Vice President, Government Affairs		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None

Signature Russell C. Wisor Date 2002 December 19

Printed Name and Title Russell C. Wisor - Vice President, Government Affairs

Registrant Name Alcoa Inc. (30326000) Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registra engaged in lobbying on behalf of client during the reporting period. **Using a separate page for each code**, provi information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

Issues related to revenue raising and international tax matters.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. Senate
U.S. House of Representatives

18. Name of each individual who acted as lobbyist in this issue area

Name	Covered Official Position (if applicable)	I
Russell C. Wisor Vice President, Government Affairs		☐
		☐
		☐
		☐
		☐
		☐
		☐
		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None

Signature Russell C Wisor Date 2002 December 19

Printed Name and Title Russell C. Wisor - Vice President, Government Affairs