Clerk of the House of Representatives	Secretary of the Senate
Legislative Resource Center	Office of Public Records
B-106 Cannon Building	232 Hart Building
Washington, DC 20515	Washington, DC 20510

SECRETARY O
06 OCT 12

LOBBYING REGISTRATION

Lobbying Disclosure Act of 1995 (Section 4)

Check if this is an Amended Registration ☒

1. Effective Date of Registration 09/05/2

2. House Identification Number 31217000 Senate Identification Number 29174

REGISTRANT

3. Registrant name New York Life Insurance Company

Address 1501 K Street, NW Suite 575

City Washington State DC Zip 20005

4. Principal place of business (if different than line 3)

City New York City State NY Zip 10010

5. Telephone number and contact name

202-654-2940 Contact Mr. Ron Lefrancois E-mail rlefranc@nyl.com

6. General description of registrant's business or activities

Seller of life insurance, annuities, and long term care insurance and provider of asset management service

CLIENT

*A **Lobbying firm** is required to file a separate registration for each client. **Organizations employing in-house lobbyists** should check t labeled "Self" and proceed to line 10.* ☒ *Self*

7. Client name New York Life Insurance Company

Address

City State Zip

8. Principal place of business (if different than line 7)

City State Zip

9. General description of client's business or activities

LOBBYISTS

10. Name of each individual who has acted or is expected to act as a lobbyist for the client identified on line 7. If any persc section has served as a "covered executive branch official" or "covered legislative branch official" within two years of a lobbyist for the client, *state the executive and/or legislative position(s) in which the person served.*

Name	Covered Official Position (if applicable)
Julie Herwig	House Committee on Ways and Means

00025543

Registrant Name New York Life Insurance Company Client Name New York Life Insurance Company

LOBBYING ISSUES

11. General lobbying issue areas. Select all applicable codes listed in instructions and on the reverse side of Form LD-1,

RET INS TAX

12. Specific lobbying issues (current and anticipated)

Legislative and regulatory treatment of life insurance, annuities, and long term care insurance.

AFFILIATED ORGANIZATIONS

13. Is there an entity other than the client that contributes more than $10,000 to the lobbying activities of the registrant i a semiannual period **and** in whole or in major part plans supervises or controls the registrant's lobbying activities?

☒ No ⇨ Go to line 14. ☐ Yes⇨ Complete the rest of this section for each entity matchi criteria above, then proceed to line 14.

Name	Address	Principal place of Busi (city and state or cou

FOREIGN ENTITIES

14. Is there any foreign entity that:

a) holds at least 20% equitable ownership in the client or any organization identified on line 13: **or**

b) directly or indirectly, in whole or in major part, plans, supervises, controls, directs, finances or subsidizes the client or any organization identified on line 13; **or**

c) is an affiliate of the client or any organization identified on line 13 and has a direct interest in the outcom lobbying activity?

☒ No ⇨ Sign and date the registration. ☐ Yes⇨ Complete the rest of this section for each en matching the criteria above, then sign and d registration.

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities

Signature [signature] Date 10/12/06

00002536544

Printed Name and Title Ronald Lefrancois, VP

Clerk of the House of Representatives	Secretary of the Senate
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a lobbyist for the client, *state the executive and/or legislative position(s) in which the person served.*

Name	Covered Official Position (if applicable)
George Nichols	

30002536545

Registrant Name New York Life Insurance Company Client Name New York Life Insurance Company

LOBBYING ISSUES

11. General lobbying issue areas. Select all applicable codes listed in instructions and on the reverse side of Form LD-1,

RET INS TAX

12. Specific lobbying issues (current and anticipated)

Tax treatment of life insurance, annuities, and long term care insurance. Regulation of insurance.

AFFILIATED ORGANIZATIONS

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☒ No ⇨ Go to line 14. ☐ Yes⇨ Complete the rest of this section for each entity matchi criteria above, then proceed to line 14.

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Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities

Signature Ronald Lefrancois Date 10/12/06

Printed Name and Title Ronald Lefrancois, VP

900253546