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Clerk of the House of Representatives	Secretary of the Senate
Legislative Resource Center	Office of Public Records
B-106 Cannon Building	232 Hart Building
Washington, DC 20515	Washington, DC 20510

LOBBYING REGISTRATION

Lobbying Disclosure Act of 1995 (Section 4)

Check if this is an Amended Registration ☐ 1. Effective Date of Registration July 1, 2004

2. House Identification Number 36564005 Senate Identification Number 86414-113

REGISTRANT

3. Registrant name G. Hunter Bates

Address The Bates Capitol Group LLC, 400 West Market Street, 32nd Floor

City Louisville State KY Zip 40202

4. Principal place of business (if different from line 3)
City State/Zip (or Country)

5. Telephone number and contact name
(502) 779-8600 Contact G. Hunter Bates E-mail (optional)

6. General description of registrant's business or activities
Government Relations & Public Affairs

CLIENT

A Lobbying firm is required to file a separate registration for each client. Organizations employing in-house lobbyists should check the labeled "Self" and proceed to line 10. ☐ *Self*

7. Client name SHPS Inc.

Address 11405 Bluegrass Parkway

City Louisville State KY Zip 40299

8. Principal place of business (if different from line 7)
City State/Zip (or Country)

9. General description of client's business or activities
Human resources/benefit services and health-management services for private and public sector,

LOBBYISTS

10. Name of each individual who has acted or is expected to act as a lobbyist for the client identified on line 7. If any per in this section has served as a "covered executive branch official" or "covered legislative branch official" within two yea acting as a lobbyist for the client, *state the executive and/or legislative position(s) in which the person served.*

Name	Covered Official Position (if applicable)
G. Hunter Bates	
Holly Piper	

Registrant Name G. Hunter Bates Client Name SHPS Inc.

LOBBYING ISSUES

11. General lobbying issue areas. Select all applicable codes listed in instructions and on the reverse side of Form LD-1, page

HCR

12. Specific lobbying issues (current and anticipated)

Federal policy and procurement referencing administration of health benefits for federal employees.

AFFILIATED ORGANIZATIONS

13. Is there an entity other than the client that contributes more than $10,000 to the lobbying activities of the registrar a semiannual period and in whole or in major part plans, supervises or controls the registrant's lobbying activities?

☒ No ⇨ Go to line 14. ☐ Yes ⇩ Complete the rest of this section for each entity matching t criteria above, then proceed to line 14.

Name	Address	Principal Place of Busines (city and state or country)

FOREIGN ENTITIES

14. Is there any foreign entity that:

a) holds at least 20% equitable ownership in the client or any organization identified on line 13; **or**
b) directly or indirectly, in whole or in major part, plans, supervises, controls, directs, finances or subsidiz activities of the client or any organization identified on line 13; **or**
c) is an affiliate of the client or any organization identified on line 13 and has a direct interest in the outcc of the lobbying activity?

☒ No ⇨ Sign and date the registration. ☐ Yes ⇩ Complete the rest of this section for each matching the criteria above, then sign and registration.

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	C p i

Signature Holly H. Pope Date August 13, 20

Printed Name and Title Holly Piper, Director of Federal Affairs, Bates Capitol Group, LLC

Form LD-1 (Rev. 04/03)