| Clerk of the House of Representatives | Secretary of the Senate |
|---|---|
| Legislative Resource Center | Office of Public Records |
| B-106 Cannon Building | 232 Hart Building |
| Washington, DC 20515 | Washington, DC 20510 |

**Secretary of the Senate**
**Received: Jan 28, 2003**

# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

**NATL ASSN OF SMALL BUSINESS INVESTMENT COS**

2. Address:
666 11TH ST NW #750, WASHINGTON, DC 20001

3. Principal place of business (if different from line 2):

4. Contact Name: LEE W. MERCER
Telephone: 202-628-5055
E-mail (optional): lmercer@nasbic.org

Senate ID #: 27126-12
House ID #: 30356000

7. Client Name: ☒ Self

## TYPE OF REPORT

8. Year 2002 Midyear (January 1 - June 30): ☒ **OR** Year End (July 1 - December 31): ☐

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

## INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

**12. Lobbying Firms**

**INCOME** relating to lobbying activities for this reporting period was:

Less than $10,000: ☐

$10,000 or more: ☐ => Income (nearest $20,000): _____

Provide a good faith estimate, rounded to the nearest $20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

**13. Organizations**

**EXPENSES** relating to lobbying activities for this reporting period were:

Less than $10,000: ☐

$10,000 or more: ☒ => Expenses (nearest $20,000): 73,776.00

**14. Reporting Method.**
Check box to indicate expense accounting method. See instructions for description of options.

☐ **Method A.** Reporting amounts using LDA definitions only
☒ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code
☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

## LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: SMB (one per page)

16. Specific lobbying issues:

HR2500 Depts. of Commerce, Justice and State, the Judiciary, and Related Agencies Appropriations Act of 2002. HR3220, Small Business Emergency Relief & Recovery Act of2001. S.2022 Small Business Investment Companies Capital Access Act of 2002. Proposed Amendment to section 514(c)(6) of Internal Revenue Code

17. House(s) of Congress and Federal agencies contacted:
HOUSE OF REPRESENTATIVES
SENATE
Small Business Adminstration (SBA)

18. Name of each individual who acted as a lobbyist in this issue area:

Name: MERCER, LEE W.
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above.**None**

Signature: ON FILE Date: Jan 28, 2003

Printed Name and Title: LEE W. MERCER - PRESIDENT