Clerk of the House of Representatives	Secretary of the Senate
Legislative Resource Center	Office of Public Records
B-106 Cannon Building	232 Hart Building
Washington, DC 20515	Washington, DC 20510

SECRETARY OF
04 AUG 16 F

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name

Rockwell Collins, Inc.

2. Address ☐ Check if different than previously reported

400 Collins Road NE, Cedar Rapids, IA 52498 -0001

3. Principal Place of Business (if different from line 2)

City: State/Zip (or Country)

4. Contact Name	Telephone	E-mail (optional)	5. Senate ID #
M.K. McDonald	(703)516-8230		6829

7. Client Name ☒ Self — 6. House ID # 3567

TYPE OF REPORT 8. Year 2004 Midyear (January 1-June 30) ☒ **OR** Year End (July 1-Dec

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date_____ 11. No Lobbying

INCOME OR EXPENSES - Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than $10,000 ☐

$10,000 or more ☐ ⇨ $_____
Income (nearest $20,000)

Provide a good faith estimate, rounded to the nearest $20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this rep period were:

Less than $10,000 ☐

$10,000 or more ☒ ⇨ $ 314,000
Expenses (nearest $20,(

14. REPORTING METHOD. Check box to indicat accounting method. See instructions for description o

☐ **Method A.** Reporting amounts using LDA defini

☐ **Method B.** Reporting amounts under section 60 Internal Revenue Code

☐ **Method C.** Reporting amounts under section 16 Internal Revenue Code

Signature

Printed Name and Title Michael K. McDonald, Vice President Government Op

LD-2 (REV. 6/98)

Registrant Name Rockwell Collins, Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

17. General issue area code ACC (one per page)

16. Specific lobbying issues

Accounting Reforms
Regulation Changes
Federal Accounting Standards Board
Corporate Accounting/Governance
Securities and Exchange Commission Reporting and Compliance
Stock Option Accounting

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	
Linda C. Sadler	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if None

Signature _____ Date 08/12/2004

Printed Name and Title Michael K. McDonald, Vice President, Government Operations

Registrant Name Rockwell Collins, Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

17. General issue area code AER (one per page)

16. Specific lobbying issues

Department of Transportation Authorization and Appropriations
NASA Authorization and Appropriations
FAA Authorization and Appropriations
National Defense Authorization and Appropriations
State Department Authorization and Appropriations
Federal Government Acquisition Policy
Foreign Trade Issues

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives
U.S. Senate
Department of Defense
Department of Commerce
Office of the United States Trade Representative
Office of Management & Budget (Office of Federal Procurement Policy)
Department of State
Department of Transportation – Federal Aviation Administration
National Aeronautics and Space Administration

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Timothy A. Peterson	
Michael K. McDonald	
Linda C. Sadler	
Joseph S. Cramer	
Lisa A. Gaisford	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if Non

Signature _____ Date 08/12/2004

Printed Name and Title Michael K. McDonald, Vice President, Government Operations

Registrant Name Rockwell Collins, Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code AVI (one per page)

16. Specific lobbying issues

Department of Transportation Authorization and Appropriations
NASA Authorization and Appropriations
FAA Authorization and Appropriations
National Defense Authorization and Appropriations
State Department Authorization and Appropriations
Federal Government Acquisition Policy
Foreign Trade Issues

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives
U.S. Senate
Department of Defense
Department of Commerce
Office of the United States Trade Representative
Office of Management & Budget (Office of Federal Procurement Policy)
Department of State
Department of Transportation – Federal Aviation Administration
National Aeronautics and Space Administration

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Timothy A. Peterson	
Michael K. McDonald	
Joseph S. Cramer	
Linda C. Sadler	
Lisa A. Gaisford	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if Non

Signature _____ Date 08/12/2004

Printed Name and Title Michael K. McDonald, Vice President, Government Operations

Registrant Name Rockwell Collins, Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

NASA Authorization and Appropriations
FAA Authorization and Appropriations
National Defense Authorization and Appropriations
Budget Requests submitted by the Department of Defense
Federal Government Acquisition Policy
Budget Resolution

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives
U.S. Senate
Department of Defense
Office of Management & Budget (Office of Federal Procurement Policy)

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Timothy A. Peterson	
Michael K. McDonald	
Linda C. Sadler	
Joseph S. Cramer	
Lisa A. Gaisford	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if None

Signature _____ Date 08/12/2004

Printed Name and Title Michael K. McDonald, Vice President, Government Operations

Registrant Name Rockwell Collins, Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code COM (one per page)

16. Specific lobbying issues

Radio Frequency Spectrum Issues

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives
U.S. Senate
Federal Communications Commission
Department of Commerce
Department of Defense
Department of Transportation

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Joseph S. Cramer	
Michael K. McDonald	
Linda Sadler	
Lisa A. Gaisford	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if None

Signature _____ Date 08/12//2004

Printed Name and Title Michael K. McDonald, Vice President, Government Operations

Registrant Name Rockwell Collins, Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code CPT (one per page)

16. Specific lobbying issues

Departments of Commerce Authorization and Appropriations
Patent Office Operations

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives
U.S. Senate
Department of Commerce, Patent and Trademark Office

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Linda C. Sadler	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if None

Signature _____ Date 08/12/2004

Printed Name and Title Michael K. McDonald, Vice President, Government Operations

Registrant Name Rockwell Collins, Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code DEF (one per page)

16. Specific lobbying issues

National Defense Authorization and Appropriations
Budget Requests submitted by the Department of Defense
Federal Government Acquisition Policy
Office of Management and Budget (Office of Federal Procurement Policy)

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives
U.S. Senate
Department of Defense

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Timothy A. Peterson	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if Non

Signature _____ Date 08/12/2004

Printed Name and Title Michael K. McDonald, Vice President, Government Operations

Registrant Name Rockwell Collins, Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code ENV (one per page)

16. Specific lobbying issues

Superfund Reform
Waste Remediation —Recycling
Trade & Environment
Clean Water Act
Clean Air Act

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives
U.S. Senate
Environmental Protection Agency

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if Non

Signature Date 08/12/2004

Printed Name and Title Michael K. McDonald, Vice President, Government Operations

Registrant Name Rockwell Collins, Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

Stock Options Exercising
Mutual Fund Trading Reforms
SEC Reporting

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives
U.S. Senate
Securities & Exchange Commission
Treasury

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if None

Signature _____ Date 08/12/2004

Printed Name and Title Michael K. McDonald, Vice President, Government Operations

Registrant Name Rockwell Collins, Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

Health Care (numerous bills — Patients' Bill of Rights, Mental Health Parity, Health Associations, Medical Reform)

Medical Malpractice Reform
Mental Health Parity
Medical Errors
Health Care Information Infrastructure
Health Care/Medical Savings Accoungs

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives
U.S. Senate
Department of Labor
Department of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if Non

Signature _____ Date 08/12/2004

Registrant Name Rockwell Collins, Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code IMM (one per page)

16. Specific lobbying issues

Personnel Mobility
H1-B Visas
B-Visa Reforms
Visa Process/Procedures Reform

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives
U.S. Senate
U.S. Trade Representative
DHS
Department of State
Department of Commerce

8. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if Non

Signature _____ Date 08/12/2004

Printed Name and Title Michael K. McDonald, Vice President, Government Operations

Registrant Name Rockwell Collins, Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code LBR (one per page)

16. Specific lobbying issues

Genetic Discrimination
Fair Labor Standards Act Reform
Overtime Pay
Comp-time
Family Medical Leave Act

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives
U.S. Senate
Department of Labor

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if Non

Signature _____ Date 08/12/2004

Printed Name and Title Michael K. McDonald, Vice President, Government Operations

Registrant Name Rockwell Collins, Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific lobbying issues

Medicare Reform and Prescription Drug Coverage

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives
U.S. Senate
HHS

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if Non

Signature _____ Date 08/12/2004

Printed Name and Title Michael K. McDonald, Vice President, Government Operations

Registrant Name Rockwell Collins, Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page)

16. Specific lobbying issues

Pension Reform
Social Security Reform
Pension Plan Funding

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives
U.S. Senate
Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if Non

Signature _____ Date 08/12/2004

Printed Name and Title Michael K. McDonald, Vice President, Government Operations

Registrant Name Rockwell Collins, Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code SCI (one per page)

16. Specific lobbying issues

Government Support for Non-Defense Research and Development

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives
U.S. Senate
Office of Science & Technology Policy
National Science Foundation
Department of Commerce
Department of Defense

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Linda C. Sadler	
Michael K. McDonald	
Joseph S. Cramer	
Lisa A. Gaisford	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if Non

Signature _____ Date 08/12/2004

Printed Name and Title Michael K. McDonald, Vice President, Government Operations

Registrant Name Rockwell Collins, Inc. Client Name Self

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15. General issue area code TAX (one per page)

16. Specific lobbying issues

FSC and Extraterritorial Income Exclusion Act of 2000 Repeal
R&D Tax Credit
FICA/FUTA Tax On Employee Stock Purchase Plans & ISO's
Charitable Deductions
Energy tax Incentives
Section 406
Section 911
Deferred COmpensation

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. Senate
U.S. House of Representatives
Department of the Treasury
Office of the United States Trade Representative

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Linda C. Sadler	
Michael K. McDonald	
Martha L. Cogdell	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if Nor

Signature Date 08/12/2004

Printed Name and Title Michael K. McDonald, Vice President, Government Operations

Registrant Name Rockwell Collins, Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific lobbying issues

FTA's (FTAA Australia, Etc.)
Export Controls
Renewal of Export Administration Act
Trade Agreements and Treaties
Trade Financing
Tariffs
International Standards
Sanctions

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives
U.S. Senate
The White House
United States Trade Representative
Department of State
Department of Commerce
Export-Import Bank

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	
Timothy A. Peterson	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if Nor

Signature _____ Date 08/12/2004

Printed Name and Title Michael K. McDonald, Vice President, Government Operations