Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF THE SE
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LOBBYING REGISTRATION

Lobbying Disclosure Act of 1995 (Section 4)

Check if this is an Amended Registration ■

1. Effective Date of Registration **March 1, 2004**

2. House Identification Number **31265-018** Senate Identification Number **17966-277**

REGISTRANT

3. Registrant name **Hecht, Spencer & Associates**

Address **499 South Capitol Street, SW, #507**

City **Washington** State **DC** Zip **20003**

4. Principal place of business (if different from line 3)

City **N/A** State/Zip (or Country) **N/A**

5. Telephone number and contact name

(202) 554-2881 Contact **Jackie West** E-mail (optional) N.

6. General description of registrant's business or activities

Government Relations Firm

CLIENT

A Lobbying firm is required to file a separate registration for each client. Organizations employing in-house lobbyists should ch
labeled "Self" and proceed to line 10. ☐ *Self*

7. Client name **The Financial Services Roundtable**

Address **805 Fifteenth Street, NW, Suite 600**

City **Washington** State **DC** Zip **20005**

8. Principal place of business (if different from line 7)

City State/Zip (or Country)

9. General description of client's business or activities

A trade association of financial services companies

LOBBYISTS

10. Name of each individual who has acted or is expected to act as a lobbyist for the client identified on line 7. If any p
this section has served as a "covered executive branch official" or "covered legislative branch official" within two
acting as a lobbyist for the client, *state the executive and/or legislative position(s) in which the person served.*

Name	Covered Official Position (if applicab
William H. Hecht	
Timothy P. Hecht	
Franklin C. Phifer, Jr.	
Stuart Spencer	

(see attachment)

Form LD-1 (Rev. 06/98)

Registrant Name Hecht, Spencer & Associates Client Name The Financial Services Roundta

LOBBYING ISSUES

11. General lobbying issue areas. Select all applicable codes listed in instructions and on the reverse side of Form LD-1.

BAN

12. Specific lobbying issues (current and anticipated)

Legislation establishing requirements for the safety, soundness and oversight of Government Sponsored Enterpris

AFFILIATED ORGANIZATIONS

13. Is there an entity other than the client that contributes more than $10,000 to the lobbying activities of the registrant i period **and** in whole or in major part plans, supervises or controls the registrant's lobbying activities?

■ No ⇒ Go to line 14

☐ Yes ⇒ Complete the rest of this section for each entity n the criteria above, then proceed to line 14.

Name	Address	Principal Place of B (city and state or cc

FOREIGN ENTITIES

14. Is there any foreign entity that:

a) holds at least 20% equitable ownership in the client or any organization identified on line 13; **OR**
b) directly or indirectly, in whole or in major part, plans, supervises, controls, directs, finances or subsidize the client or any organization identified on line 13; **OR**
c) is an affiliate of the client or any organization identified on line 13 and has a direct interest in the outcor lobbying activity?

■ No ⇒ Sign and date the registration.

☐ Yes ⇒ Complete the rest of this section for each entity matching the criteria above, then sign and date the registration

Name	Address	Principal Place of Business (city and state and country)	Amount of Contribution for Lobbying activities	Ownershi in

Signature _____ Date **July 22, 2004**

Printed Name and Title **Timothy P. Hecht, Vice President**

Form LD-1 (Rev 06/98)

LOBBYISTS

10. Name of each individual who has acted or is expected to act as a lobbyist for the client identified on line 7. If any pe this section has served as a "covered executive branch official" or "covered legislative branch official" within two ye acting as a lobbyist for the client, *state the executive and/or legislative position(s) in which the person served.*

Name	Covered Official Position (if applicable
Rodney Grams	
Walter D. Huddleston	